# EXHIBIT G PART 5 OF 6

# REDACTED VERSION OF DOCUMENT SOUGHT TO BE SEALED



"Patent Markets", American Intellectual Property Law Association, 2007 Mid-Winter Institute, New Orleans, January 24-27, 2007.

"Assessing the Real Value of Your IP Portfolio" and "Growing IP Impact on Public and Semi-Public Markets", The 5<sup>th</sup> Annual Summit on Monetizing, Financing & Securitizing IP, New York, January 30-31, 2007.

"Ocean's 300", Moderator, World Intellectual Property Review 2007, pp. 16-20.

"The Intellectual Property Marketplace: Emerging Transaction and Investment Vehicles", Co-author with Cardoza, Gray and Conroy, *The Licensing Journal*, Aspen Publishers, Vol. 27, No. 2, pages 1 - 11, February 2007.

"The Importance of Emerging Intellectual Property Market Opportunities to the City of Chicago", Keynote Speaker, Notre Dame Club of Chicago Meeting, Chicago, March 8, 2007.

"The Intellectual Property Marketplace", Harvard Business School Club of New York, New York, April 12, 2007.

Keynote Address, BRICs & Mortar: Technological Drivers in Booming Economies of Brazil, Russia, India and China, Northwestern University Journal of Technology & Intellectual Property Second Annual Symposium, Chicago, April 13, 2007.

"Innovation Measurement: The Economic Impact of Patent Value", Co-author with Barney, Cardoza, Walker and Gray, Submission to United States Department of Commerce Economics and Statistics Administration, Pursuant to Notice in the Federal Register, Vol. 72, No. 71, 18627, May 11, 2007.

"Objective Patent Valuation", Business Meeting, Association of Corporate Patent Counsel, Newport, Rhode Island, June 27, 2007.

"Intellectual Property Exchange Chicago", a two day symposium presented by The National Knowledge & Intellectual Property Management Taskforce and The Center for Applied Innovation, Moderator and Speaker, July 17 – 18, 2007, Chicago.

"Start-up Stories: Tales from the Front Line", TiE Midwest, August 1, 2007, Chicago.

Keynote Address, Notre Dame Financial Executives Alumni Conference, September 21, 2007, South Bend, Indiana.

"The Birth of an IP Marketplace", Missouri Bar Association Seminar, November 2, 2007, St. Louis, Missouri.

"Market Forces and IP", The Giles S. Rich American Inn of Court, Howard University, January 17, 2008.



"Market for Technology: Challenges and Opportunities", Panel Discussion on Impediments to Technology Markets, Duke University's Fuqua School of Business, February 20, 2008.

"IP Markets – An Intangible Walk Down Wall Street", Keynote Address, Securities Industry and Financial Markets Association, March 11, 2008, New York.

"Patent Valuation, Is there One or Many?", Mini-Plenary Session of the High Tech Sector, The Licensing Executives Society International Annual Meeting, May 7, 2008, Chicago.

"What is Patent Quality – A Merchant Banc's Perspective", with Jonathan A. Barney, *les Nouvelles*, June 2008, p. 123 – 134.

"Intangibles in the Firm and Financial Markets", *Intangible Assets: Measuring and Enhancing Their Contribution to Corporate Value and Economic Growth*, The National Academies, Washington DC, June 23, 2008.

"Developing IP Markets: Opportunity for the Financial Services Industry", Keynote Address, The 5<sup>th</sup> Annual Patents & The Financial Services Industry Symposium, New York, July 29, 2008.

"New Trends in Monetizing IP Rights: Trolls, Licensing and Securitization", *Managing Intellectual Property* Webinar, September 3, 2008.

"Magnificent Mile – Shopping for the Ideal IP Expert", DRI Intellectual Property Litigation Seminar, September 4-5, 2008, Chicago.

From Assets to Profits: Competing for IP Value and Return, Contributing Author, Edited by Bruce Berman, John Wiley & Sons, November 2008.

Ocean Tomo: The New Kid on the (Auction) Block is All Grown Up, Institute for Law and Technology,  $46^{th}$  Annual Conference on Intellectual Property Law, November 10-11, 2008, Plano, Texas.

Federal Trade Commission: The Evolving Intellectual Property Marketplace, Keynote Address, Public Hearings, April 17, 2009, Washington, DC.

"Protecting and Commercializing New Ideas", CoreNet Global Chicago Chapter Meeting, Chicago, May 13, 2009.

"The Future of the IP Marketplace", Moderator and Plenary Speaker, IP Markets 2009, Chicago, July 23, 2009.

"Staying Ahead of the Curve – Strategic Intelligence, Value Assessments and Monetization in a Highly Competitive Economy", The 6<sup>th</sup> Annual Patents & The Financial Services Industry Conference, New York City, July 28-29, 2009.

"Helping Companies in a Down Economy: Strategic Planning for Identifying and Valuing Your IP", American Bar Association Annual Meeting, Chicago, July 31, 2009.



"Managing IP During Uncertain Times", NanoBusiness Alliance Conference, Chicago, September 8, 2010.

National Economic Framework for Intellectual Property Based Commerce, A Research Report by the National Knowledge & Intellectual Property Management Taskforce, Net Worth Press, 2009.

"The Role of IP in Tough Economic Times and How to Use it to Your Advantage: Corporate Recovery and Restructuring", Licensing Executives Society Annual Meeting, San Francisco, October 19, 2009.

"Global IP Market Development", 11<sup>th</sup> Annual Utah IP Summit, Salt Lake City, February 13, 2010.

"Law, Economics, Business and Policy Implications for Innovation and Competition of Diverse Business Models for Using Patents", Stanford University Hoover Institution Annual Conference, Stanford, California, June 25, 2010.

"Establishing an Objective Value of IP", IPO Annual Meeting, Atlanta, September 14, 2010.

"Intellectual Property and the Marketplace: Hot Topics Impacting the Role of Patents, Trademarks and Copyrights in Today's Business World", Vedder Price Illinois Continuing Legal Education Forum, Chicago, October 6, 2010.

"IP Essentials for the Chief Executive Officer", Illinois Technology Association, Chairman's Dinner Keynote Speaker, Chicago, October 20, 2010.

"Valuation of IP in Emerging Market Platforms", 2010 IP Damages Institute, CalCPA Education Foundation, Los Angeles, November 8, 2010.

"Shifting Sands: What is Discoverable and Admissible for Damages, Willfulness and Other Purposes", Intellectual Property Owners Association CLE Roundtable, Washington, DC, March 21, 2011.

"Intellectual Property: From Asset to Asset Class", <u>Intellectual Property</u>
<a href="Strategies for the 21st Century Corporation">Strategies for the 21st Century Corporation</a>, Bryer, Lebson & Asbell Editors, John Wiley & Sons, Inc., 2011.

"The Next Big Think in Monetizing IP: A Natural Progression to Exchange-Traded Units", Ian D. McClure co-author, *LANDSLIDE*, A Publication of the ABA Section of Intellectual Property Law, Volume 3, Number 5, May/June 2011, pp. 32-37.

"Risk Management Strategies to Defend Against Patent Trolls and the New Trend in Patent Royalty Trusts", 2011 Congress on Patent Strategies for the Financial Services Industry, New York, September 19-20, 2011.

"Patent Quality and its Impact on Valuation", Licensing Executives Society United States and Canada, Inc., Annual Meeting, San Diego, October 17, 2011.



Introduction, "LESI Global Technology Impact Forum (GTIF) Creates Tech Transfer Platform", *les Nouvelles*, Journal of the Licensing Executives Society International, Volume XLVII No. 2, June 2012.

Panelist, "IP Monetization", McDermott Will & Emery 2012 Intellectual Property Symposium, Chicago, June 14, 2012.

Keynote Address, Northwestern Law Fifth Annual Conference on Entrepreneurship and Innovation, Chicago, June 14, 2012.

"IP Market Development", 38<sup>th</sup> Annual Intellectual Property Law Summer Institute, Sponsored by the Intellectual Property Law Section of the State Bar of Michigan, Traverse City, Michigan, July 21, 2012.

"An Investors' Perspective on IP", CenterForce IP Strategy Summit, New York City, New York, November 13, 2012.

"Investing in IP", DealFlow Media Webinar, January 10, 2013.

"Evolving IP Marketplace", American Intellectual Property Law Association, Mid-Winter Meeting, Tampa, Florida, February 1, 2013. Includes paper: New Emphasis on the Analytical Approach of Apportionment In Determination of a Reasonable Royalty by James E. Malackowski, Justin Lewis and Robert Mazur.

"An Inventor's Walk Down Wall Street", PatCon 3 at Illinois Institute of Technology Chicago-Kent School of Law, Chicago, April 12, 2013.

Report on Judge Rader Comments at the 2013 LESI Annual Conference, LES Global News, Vol. XLVIII No. 2, June 2013.

"Strategic Insights", Plenary Panel Discussion, IPBC 2013, IP Business Congress, Boston, June 9, 2013.

"IP and Antitrust", Panel Discussion, McDermott 2013 IP Symposium, June 13, 2013, Chicago.

IP Investments and Markets Presented by the Center for Applied Innovation, Panelist on IP Marketplace, Chicago, June 25-26, 2013.

Capturing Innovation, Irish Entrepreneurs: An Affiliate Group of the Notre Dame Club of Chicago, Chicago, September 5, 2013.

Preventing the Napsterization of 3D Printing: Areas for Industry Collaboration and Transparency, Inside 3D Printing Conference and Expo, San Jose, California, September 18, 2013.

The Latest Thinking about Non-Practicing Entities, 2013 AIPLA Annual Meeting, Washington, DC, October 25, 2013.



Challenges and Opportunities in Asia, Think Asia, Think Hong Kong: IP, Technology & China/U.S. Opportunities, The Hong Kong Business Association of the Midwest, Chicago, November 19, 2013.

Rationalizing Remedies, The 2013 Patent Institute presented by Cravath Swain & Moore, New York, December 5, 2013.

Special Address: Looking to the Future of the Intellectual Property

Marketplace – Where Will We Be in 2020?, Best Practices in Patent

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Reinventing Finance: Funding Innovation Beyond Silicon Valley, Forbes Reinventing America Summit, Chicago, March 27-28, 2014.

IP Pricing - Current Issues for Markets and Courts, Georgia State University / Licensing Executives Society Joint Meeting, Atlanta, May 28, 2014.

The Growing Global 3DP IP Market & How Much Is At Stake, 3D Printing Politics, Washington D.C., September 17, 2014.

The Changing Role of the Expert, 2014 IP Institute presented by the Engleberg Center on Innovation Law & Policy at New York University and Cravath, Swaine & Moore, LLP, New York, December 4, 2104.

"Intellectual Property Exchange", Dallas Chapter Meeting of the Licensing Executives Society (USA & Canada), Inc., Dallas, January 22, 2015.

"Actavis, Valuation, and Fairness Opinions", 2015 Generic Pharmaceutical Association Annual Meeting, Miami, February 9-11, 2015.

Patent Damages Roundtable, USC Gould School of Law 2015 Intellectual Property Institute, Los Angeles, March 23, 2015.

"Intellectual Property Impact on M&A", Transaction Advisors Midwest Symposium, Chicago, September 17, 2015

"The Changing Landscape of Patent Value and Patent Risks", 2016 Berkeley Law / Cleary Gottlieb M&A-IP-Antitrust Conference, Berkeley Center for Law, Business and the Economy, San Francisco, January 29, 2016.

# INTERNATIONAL SPEECHES AND PUBLICATIONS

"Taxation Issues when Licensing with the U.S.", Licensing Executives Society International, South Africa Conference, January 28, 1996.

"Intellectual Property Damages: Advanced Case Studies", Licensing Executives Society Annual Meeting, Puerto Rico, September 30, 1996.

"License Agreement Royalty Audits: Untapped Riches Or Fool's Gold?", Licensing Executives Society Annual Meeting, Puerto Rico, October 1, 1996



- "Valuation of IPR", Conference on Appeals Related to Intellectual Property, Bucharest, Romania, November 20, 1997.
- "Avaliação e Contabilização de Propriedade Intellectual Metodologia e Aspectos Fiscais", XIX Seminario Nacional de Propriedade Intellectual, Rio de Janeiro, Brazil, August 16, 1999.
- "Avaliação e Contabilização de Propriedade Intelectual", Conferencia pela Consulate General of the United States of America, Sao Paolo, Brazil, August 18, 1999.
- "Avaliação e Contabilização de Propriedade Intelectual", Conferencia pela Consulate General of the United States of America, Curitiba, Brazil, August 20, 1999.
- "IP Valuation Trends", Licensing Add-on Seminar, LESI Annual Conference, Krasnapolsky, Amsterdam, Netherlands, May 21, 2000.
- "Intellectual Property from a Board Room Window", Plenary Session II LESI Strategies, LESI Annual Conference, Krasnapolsky, Amsterdam, Netherlands, May 23, 2000.
- "Due Diligence in an Intellectual Capital Focused Investment", LES Annual Conference Add-on Session, Toronto, September 14, 2000.
- "What's New in Intellectual Property Asset Management", Panel Discussion, 8th Annual Intellectual Property Law Institute, State Bar of Georgia, Puerto Vallarta Mexico, November 15, 2002.
- "Les brevets en tant qu'actifs economiques: comment les exploiter au mieux" and "Brevets et financement: couvrir les couts, trouver des investisseurs", Un System Du Brevet Competitif Pour L'Europe, sponsored by the European Patent Office, Brussels, May 3-4, 2006.
- "What is Patent Quality?", Co-author with Jonathan A. Barney, Paper Presented to the Colloquium on a Comprehensive Approach to Patent Quality, Federation Internationale Des Conseils En propriete Industrielle, Amsterdam, June 8-9, 2007.
- "Fostering Innovation with Seed Money and Venture Capital", Licensing Executives Society International Annual Conference, Zurich, June 19, 2007.
- "Legal Problems Arising from Auctioning of IPR", Bi-Annual International Forum, Association Internationale Pour La Protection De La Propriete Intellectuelle, October 6, 2007.
- "IP Auctions", Plenary Address, The Licensing Executives Society Annual Meeting, October 16, 2007, Vancouver, Canada.
- "IP Valuation for IPO's", Warsaw Stock Exchange Executive Conference, June 27, 2008, Warsaw, Poland.



"IP As A Business Tool", Licensing Executives Society International Conference, January 29-30, 2009, New Delhi, India.

"Global IP Market Development", Keynote Address, The Licensing Executives Society Australia and New Zealand, April 2-4, 2009, Camberra, Australia.

"Global IP Market Development", The Licensing Executives Society Philippines, June 8, 2009, Manila, Philippines. Entwicklung einer Infrastruktur im Blickpunkt, Der Intellectual Property Exchange, IP Manager: Journal for the Knowledge Economy, 01/2009.

"Global IP Market View", Division des Analyses Economiques et des Statistiques, Organization de Cooperation et de Developpement Economiques, January 8, 2010, Paris, France.

"Global IP Market View", BusinessEurope Patents Working Group Meeting, The Confederation of European Business a.l.a.b.l., January 28, 2010, Brussels, Belgium.

"Global IP Market View", Inaugural Annual Conference, LES Turkey, January 29, 2010, Istanbul, Turkey.

"Commercialization Strategies for Industrial Property Assets", LES Brazil Annual Congress, January 28, 2011, Rio de Janeiro, Brazil.

"Developing Commercial IP Markets", LES Arab Countries and Abu Dhabi Higher Colleges of Technology Seminar, October 12, 2011, Abu Dhabi, UAE.

"Asian IP Market Development", LES Asia Pacific Meeting, LES Singapore, November 9-10, 2011, Singapore.

"Patent Auctions & Technology in an Emerging Global Economy", LES Philippines, November 16, 2011, Manila.

"Tech Transfer for Humanitarian Purpose", LESI Annual Conference, April 2, 2012, Auckland.

Moderator, "New Challenges in ICT: How to Compete Using IP Assets", LES Pan European Meeting, Rome, June 12, 2012.

Workshop Panelist, "Accelerate Licensing & Avoid Litigation: Effective Use of Transparency, Investors & Risk Management Tools", LES Pan European Meeting, Rome, June 12, 2012.

Keynote Speech, "Research Trends Around the Globe on Licensing", LES Asia Pacific Regional Conference, Tokyo, Japan, September 3, 2012.

"Investing in IP and Developing IP Monetization and Risk Markets: U.S. Perspective", LES Scandinavia Annual Meeting, Helsinki, Finland, September 12, 2012.



"El Mercado Global De Tecnologia", LESI Innovation Tour, LES Mexico and Asociacion Mexicana de Directivos de la Investigation Aplicada y el Desarrollo Tecnologico, A.C., Mexico City, Mexico, September 21, 2012.

Research Handbook on Intellectual Property Licensing, Forward, Jacques de Werra, University of Geneva, Editor, Edward Elgar Publishing, 2012.

"Markets for Humanitarian Technology Transfer" and "Adoption by Resolution of LESI IP Business Principles", LESI Global Technology Impact Forum, Geneva, Switzerland, January 22, 2013.

Forward, Research Handbook on Intellectual Property Licensing, Edited by Jacques de Werra, Edward Elgar Publishing Limited, 2013.

"IP Market Development" / "Simplicity in Global IP Valuation", LESI Annual Conference, Rio de Janeiro, Brazil, April 10, 2013.

"Collaboration for IP Based Accounting and Reporting", LESI Global Technology Impact Forum, Geneva, Switzerland, January 20-21, 2014.

"IP Licensing and Intermediaries", LES Asia-Pacific Regional Conference, Seoul, Korea, November 4-6, 2014.

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TELEVISION, RADIO Bloomberg Morning Call with Brian Sullivan, "Patent Auctions", March 3,

CNBC Closing Bell, "Patents for Purchase", Interview with Maria Bartilomo, April 4, 2006.

CNBC On the Money, "Patents for Sale", Interview and Report by Scott Wapner, April 7, 2006.

Bloomberg Morning Call with Brian Sullivan, "Ocean Tomo 300 Index" and "Fall Intellectual Property Auction", September 13, 2006.

CBS WBBM-AM News Radio with Andy Giersher, Noon Business Hour, "New Stock Market Index", December 2, 2006 plus repeats.

Bloomberg Evening Market Pulse with Pimm Fox, "Stock Selections with Strong Patents", January 9, 2007.

Judge, Everyday Edisons: Ordinary People, Extraordinary Ideas, a Public Broadcasting System documentary series, 2nd Season, to be aired 2008.

Bloomberg Final Word with Brian Sullivan, "Changes in IP Laws Affect Stock Price", March 10, 2008.

FOX Business National, "Investing in Patents", June 5, 2008.



"It's the auto technology, Congress", *The Detroit News*, detnews.com, December 2, 2008.

FOX Business National, "Capturing Value from IP During a Recession", January 12, 2009.

FOX Business National, "The Value of Technology and Patents in a Chrysler Bankruptcy", May 1, 2009.

FOX Business National, "Exchange Looks to Value Patents", October 5, 2009.

TV Tokyo, "IP Markets, October 4, 2010.

FOX Business National, "Patent Litigation Trends", October 4, 2010.

CNBC Street Signs, "Patent-Palooza", July 26, 2011.

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CNBC Street Signs, "IPXI: Trading Patents in 2012", December 14, 2011.

CEO IntroNet, May 16, 2012.

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<u>Crain's Chicago Business</u>, Chicago Business Video, "Preview of the Eureka Index", April 25, 2013.

CNBC Street Signs, "Valuing Intangible Assets", August 5, 2013.

Chicago Tribune Blue Sky Innovation, "Why Robots Roam the Halls...", July 16, 2014.

# EXPERT TESTIMONY

01 Communique Laboratory, Inc. v. Citrix Systems, Inc. & Citrix Online, LLC Civil Action 1:06-CV-0253 (N.D. Ohio)

United States District Court for the District of Massachusetts

Deposition Testimony

Advanced Micro Devices, Inc. and ATI Technologies, ULC v. Samsung Electronics Co. Ltd. et al.

No. CV-08-0986-SI

United States District Court for the Northern District of California San

Francisco Division Deposition Testimony

Advanced Technology Materials, Inc. v. Praxair, Inc.,

Civil Action No.03 CV 5161 (RO)

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Deposition Testimony



A.I.T. Industries, Inc., f/k/a Photocentron, Inc. v. Yordan Vurich and Opti-Vue, Inc.

Civil Action No.94-C-5196 Deposition Testimony

Allan Stimmel v. Eugene Weiner, Kurt Gutfreund and M & L International, Inc. Civil Action No. 89 C 6510 (ACW)
United States District Court for the Northern District of Illinois, Eastern Division

Deposition Testimony

Altana Pharma AG and Wyeth v. Teva Pharmaceuticals USA, Inc. and Teva Pharmaceuticals Industries Ltd., et. al.
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United States District Court for the District of New Jersey
Deposition Testimony

Analog Devices, Inc. v. Christopher Michalski, Kiran Karnik and Maxim Integrated Products, Inc.
Case 01 CVS 10614
State of North Carolina Superior Court Division, County of Guilford Deposition Testimony

Andrx Pharmaceuticals, LLC v. GlaxoSmithKline, PLC and SmithKline Beecham Corporation Case No. 05-23264-CIV-Graham/O'Sullivan United States District Court for the Southern District of Florida Deposition Testimony

Applied Medical Resources Corporation v. Gaya Limited AAA Case No. 50 133T00316 06 Arbitration Testimony

Arthur Takeall v. PepsiCo, Inc. Civil Action S92-766 United States District Court for the District of Maryland Deposition Testimony

Ashley Furniture Industries v. Laura Ashley Holdings Plc and Laura Ashley, Inc. AAA File No. 51 133 Y 01056 08 American Arbitration Association Arbitration and Deposition Testimony

Atlantic Richfield Company, Chevron U.S.A., Inc., Exxon Corporation, Mobil Oil Corporation, Shell Oil Products Company and Texaco Refining & Marketing, Inc. v. Unocal Corporation and Union Oil Company of California and Union Oil Company of California v. Atlantic Richfield Company, Chevron U.S.A., Inc., Exxon Corporation, Mobil Oil Corporation, Shell Oil Products Company and Texaco Refining & Marketing, Inc. Civil Action No. CV-95-2379 KMW(JRx)

Trial and Deposition Testimony



Avery Dennison Corp. et al v. FLEXcon Company, Inc. Civil Action No. 96-C 4820 United States District Court for the Northern District of Illinois, Eastern Division Deposition Testimony

Aylus Networks, Inc. vs. Apple, Inc. Case No. 3:13-cv-4700 United States District Court for the Northern District of California Deposition Testimony

Bath & Body Works Brand Management, Inc. v. Summit Entertainment, LLC Case No. 11 Civ 1594 (GBD)
United States District Court for the Southern District of New York
Deposition Testimony

Bayer Pharma AG, Bayer Intellectual Property GMBH and Bayer Healthcare Pharmaceuticals, Inc. v. Watson Laboratories, Inc. Civil Action No 12-517-GMS
United States District Court for the District of Delaware
Trial and Deposition Testimony

Beloit Corp v. Voith, Inc. & J.M. Voith GmbH Civil Action No. 92 C 0168 C United States District Court for the Western District of Wisconsin Trial and Deposition Testimony

Board of Trustees of the Leland Stanford Junior University and Litton Systems, Inc. v. Tyco International LTD., Tyco International, Inc., Tyco Telecommunications, Inc, Tyco Networks, Inc., Lucent Technologies, Inc., Agere Systems, Inc., JDS Uniphase Corporation, Ciena Corporation, Pirelli S.p.A., Ericsson, Inc., Telefonaktiebolaget Lm Ericsson and Ericsson Microelectronics Ab, Optoelectronic Products.

Case No. Cv-00-10584-TJH (RCx)
United States District Court for the Central District of California – Western California
Deposition Testimony

Bracco Diagnostics, Inc. v. Amersham Health Inc., Amersham Health AS, Amersham plc and Amersham Health Inc. v. Bracco Diagnostics, Inc. Civil Action No. 03-6025
United States District Court for the District of New Jersey
Trial and Deposition Testimony

Brian D. Zdeb, et al v. Baxter International, Inc. Civil Action No. 91-L-8726 Appellate Court of Illinois, First District, Sixth Division Trial and Deposition Testimony

Briggs & Stratton Corporation v. Kohler Company Case No. 05-C-0025-C United States District Court for the Western District of Wisconsin



# Deposition Testimony

Bristol-Myers Squibb Company v. Apotex Inc. and Apotex Corp. Civil Action No. 3:10-cv-05810 (MLC) United States District Court for District of New Jersey Deposition Testimony

Brocade Communications Systems, Inc. and Foundry Networks, LLC v. A10 Networks, Inc. et al.
Case No. 10-cv-03428 LHK
United States District Court for the Northern District of California San Jose Division
Trial and Deposition Testimony

Callpod, Inc. v. GN Netcom, Inc. et al. Case No. 06-CV-4961 United States District Court for the Northern District of Illinois Eastern Division Deposition Testimony

CareFusion 303 v. Sigma International Case No 10cv0442 DMS (WMC) United States District Court for the Northern District of California Trial and Deposition Testimony

Carter Bryant v. Mattel, Inc. and Consolidated Actions
United States District Court for the Central District of California Southern
Division
Case No. CV 04-9049-DOC (RNBx)
Consolidated with Nos. CV 04-9059 and CV 05-2727
Trial and Deposition Testimony

Catalina Marketing Corp. v. Advanced Promotion Technologies, Inc. Civil Action No. CV 93-4741 WJR (Sx) Deposition Testimony

Caterpillar Inc. v. International Truck & Engine Corp., Siemens Diesel Systems Technology, LLC, Sturman Industries, Inc., Sturman Engine Systems, LLC, Oded E. Sturman and Carol K. Sturman United States District Court for the District of South Carolina, Columbia Division
Case No. 3:03-1739-17
Deposition Testimony

CEATS, Inc. v. Continental Airlines, Inc., AeroSvit Airlines, CJSC, Air China, Ltd., Air Europa Lineas Aereas, SAU, AirTran Airways, Inc., Alaska Airlines, Inc., Horizon Air Industries, Inc., All Nippon Airways Co., Ltd., Aerovias Del Contenente Americano SA, Brendan Airways, LLC, Caribbean Airlines, Ltd., Delta Air Lines, Inc., EgyptAir Airlines, Co., Frontier Airlines, Inc., JetBlue Airways Corporation, Malaysia Airline System Berhad, Qatar Airways Company QCSC, Alia Royal Jordanian, PLC, TAM, SA, Thai Airways International Public Co., Ltd., United Air Lines, Inc., US Airways, Inc., Virgin America, Inc.



Case No. 6:10-cv-120 LED

United States District Court for the Eastern District of Texas Tyler Division Trial and Deposition Testimony

CEATS, Inc. v. Granada Theater, Live Nation Worldwide, Inc., Ticketmaster, LLC, Tickets.com, Inc., Ticket Software, LLC, Ticket Network, Inc., TicketsNow.com, Inc., TNow Entertainment Group, Inc., Concur Technologies, Inc.

Case No. 6:10-cv-120 LED

United States District Court for the Eastern District of Texas Tyler Division Trial and Deposition Testimony

Cheetah Omni, LLC v. Alcatel-Lucent USA Inc., et al. (on behalf of Tellabs North America, Inc.)

Case No. 6:11CV390

United States District Court for the Eastern District of Texas Tyler Division Deposition Testimony

Ciba Specialty Chemicals Corporation v. Hercules Inc. and Cytec Industries, Inc.

Civil Action No. 04-293

United States District Court for the District of Delaware Deposition Testimony

Comair Rotron, Inc. v. Matsushita Electric Corporation of America, et al. - New Jersey Action

Civil Action No. 85-4308 (HLS)

Trial and Deposition Testimony

Commonwealth Scientific and Industrial Research Organization v. Lenovo (United States) et al.

United States District Court for the Eastern District of Texas Tyler Division Case No. 6:09-cv-00399-LED

Deposition Testimony

Commonwealth Scientific and Industrial Research Organization v. Cisco Systems, Inc.

United States District Court for the Eastern District of Texas Tyler Division Case No. 6:11-cv-00343-LED

Trial and Deposition Testimony

Commonwealth Scientific and Industrial Research Organization v. MediaTek Inc., et al.

United States District Court for the Eastern District of Texas Tyler Division Case No. 6:12-cv-578-LED

Deposition Testimony

Computer Generated Solutions, Inc. v. Peter Loral, Loral Incorporated, PJK, Inc. and Belle Loral, LLC

Civil Action No. 97 Civ. 6298 (MBM)

Deposition Testimony



Construction Technology, Inc. v. Cybermation, Inc. et al. Civil Action No. 91 Civ. 7474 (JSM) United States District Court for the Southern District of New York Trial and Deposition Testimony

Cordis Corporation v. SciMed Life Systems, Inc. Case No. CV 4-96-261 United States Court for the District of Minnesota Deposition Testimony

CoStar Realty Information, Inc. v. Civix-DDI, LLC and Civix-DDI, LLC v. LoopNet, Inc.

Case No. 1:12-cv-04968 (consolidated with 07091 and 08632) United States District Court for the Northeastern District of Illinois Eastern Division

Deposition Testimony

C.R. Bard v. M3 Systems Civil Action No. 93 C-4788 Trial Testimony

Curtis Amplatz and Carina Royalty, LLC v. AGA Medical Corporation Court File No. 27-CV-10-27664 State of Minnesota District Court, County of Hennepin, Fourth Judicial District Trial Testimony

DaiNippon Screen Mfg., Co. Ltd. *et al.* v. Scitex Corp. Ltd. *et al.* Case No. C 96-3296 FMS
United States District Court for the Northern District of California Arbitration and Deposition Testimony

Digital-Vending Services International, Inc. v. The University of Phoenix, Inc. et al.

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Design Solange, Ltd., Inc. v. Lane Bryant, Inc. Civil Action No. 94 CIV 1299 (JFK) United States District Court for the Southern District of New York Trial and Deposition Testimony

DTS, Inc. and DTS Licensing Ltd. v. Nero AG and Nero Inc. Case No. 2:14-cv-09791-RGK-PJW United States District Court for the Central District of California Deposition Testimony

Durel Corporation v. Osram Sylvania, Inc. Civil Action No. 95-1750 PHx (EHC) United States District Court for the District of Arizona Trial and Deposition Testimony



Dynetix Design Solutions, Inc. v. Synopsys, Inc. and Does 1-50 Case No. 5:11-cv-05973-PSG United States District Court for the Northern District of California Deposition Testimony

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Edward K. Isbey, Jr. v. Cooper Companies, Inc. Civil Action No. 89-CVS-3776 Supreme Court of North Carolina Deposition Testimony

Ellison v. The Chicago Heart Association Civil Action No. 92-K-706 Deposition Testimony

Emblaze Ltd. v. Apple Inc. Civil Action No. 45:11-cv-01079-SBA (PSG) United States District Court for the Northern District of California San Jose Division Trial and Deposition Testimony

Enterasys Networks, Inc. v. Extreme Networks, Inc. Civil Action No. 07-C-0229-C United States District Court for the Western District of Wisconsin Trial and Deposition Testimony

Escada Beaute, et al. v. The Limited Inc. et al. Civil Action No. 92-CIV-7530 (LLS) United States District Court for the Southern District of New York Trial and Deposition Testimony

Esquel Enterprises, Ltd., v. TAL Apparel Limited and TALTECH Limited Civil Action No. C04-974Z United States District Court for the Western District of Washington at Seattle Deposition Testimony

Express, LLC v. Fetish Group, Inc. Civil Action No. CV05-2931 SWV (JTLx) United States District Court for the Central District of California Western Division Deposition Testimony

Extreme Networks, Inc. v. Enterasys Networks, Inc. Civil Action No. 07-C-0229-C United States District Court for the Western District of Wisconsin Trial and Deposition Testimony



Fairchild Semiconductor Corporation and System General Corporation v. Power Integrations, Inc.
Civil Action No. 12-00540
United States District Court for the District of Delaware
Trial and Deposition Testimony

Faye Fish Estate et al. v. Beech Aircraft et al. Civil Action No. 631333 Deposition Testimony

FidoPharm, Inc. & Omnipharm, Ltd. v. Cheminova, Inc. A/S AAA Case No. 50 503 T 00266 12 American Arbitration Association Hearing Testimony

Footstar, Inc. et al v. Kmart Corporation Chapter 11 Case No. 04-22350 (ASH) United States Bankruptcy Court for the Southern District of New York Deposition Testimony

Fortune Dynamic, Inc. v. Victoria's Secret Stores Brand Management, Inc. Case No.: CV07-02-962
United States District Court for the Central District for the State of California Deposition Testimony

Fractus, S.A. v. Samsung Electronics Co. Ltd.; et al (including LG Electronics, Inc. and related parties)
Civil Action No. 6:09cv203
United States District Court for the Eastern District of Texas Tyler Division
Deposition Testimony

Fujitsu Ltd. v. Tellabs, Inc. et al.
Case No. 1:09-cv-04530
United States District Court for the Northern District of Illinois Eastern Division
Trial and Deposition Testimony

General Mills, Inc. and General Mills IP Holdings II, LLC v. Fage Dairy Industry, S.A., Fage USA Dairy Industry, Inc. and Fage USA Holdings, Inc. United States District Court for the Northern District of New York Deposition Testimony

Georgia-Pacific Corp. v. United States Gypsum Co. and L&W Supply Co. Civil Action No. 94-989-RRM United States District Court for the District of Delaware Trial Testimony

Gibson Guitar Corp. v. Heritage Guitar, Inc. and Lasar Music Corp. Civil Action No. 3-90-0009 Deposition Testimony

Gilberto Arvelo v. American International Insurance Civil Action No. 93-1287



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Government Employees Insurance Company v. Google, Inc. and Overture Services, Inc.

United States District Court, Eastern District of Virginia, Alexandria Division

Civil Action No: 1:04cv507 Deposition Testimony

Group One v. Hallmark

Civil Action No. 97-1224-CV-W-1

United States District Court for the Western District of Missouri, Western

Division

Deposition Testimony

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Case No. 13-CV-1081-PSG

United States District Court for the Northern District of California, San Jose

Division

Trial and Deposition Testimony

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United States District Court for the Eastern District of Virginia Deposition Testimony

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Trial and Deposition Testimony

Hoechst Celanese Corporation v. Nylon Engineering Resins, Inc. Civil Action No. 94-346-CIV-FTM-24D United States District Court for the Middle District of Florida Trial Testimony

iHance, Inc. v. Eloqua Limited and Eloqua Corporation
Case No. 2;11-CV-257-MSD-TEM
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Deposition Testimony

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Cause No. A 05 CA 334 SS
United States District Court of Texas, Austin Division
Deposition Testimony

In Re Gabapentin Patent Litigation MDL Docket No. 1384 (FSH) Master Civil Action No. 00-2931 (FSH)



On behalf of Defendants Teva Pharmaceutical Industries Ltd. and IVAX Corporation and related parties United States District Court for the District of New Jersey Deposition Testimony

In Re Nortel Networks Inc. et al. and
In the Matter of the Companies' Creditors Arrangement Act
Case No. 09-10138 (KG) and R.S.C. 1985, c. C-36
United States Bankruptcy Court for the District of Delaware and the Ontario
Superior Court of Justice
Trial and Deposition Testimony

In the Matter of Arbitration Between Open Text, Inc., Claimant, and State Employee's Credit Union, Respondent JAMS Arbitration No. 1400015026 Arbitration Testimony

In the Matter of Certain Electronic Devices with Graphics Data Processing Systems, Components Thereof, and Associated Software Investigation No. 337-TA-813
On behalf of Respondent Apple Inc.
United States International Trade Commission
Deposition Testimony

In the Matter of Certain Semiconductor Chips with Minimized Chip Package Size and Products Containing Same (III)
Investigation No. 337-TA-630
On behalf of Respondents Acer, Nanya and Powerchip
United States International Trade Commission
Hearing and Deposition Testimony

In the Matter of Certain Short-Wavelength Light Emitting Diodes, Laser Diodes, and Products Containing Same
Investigation No. 337-TA-640
On behalf of Respondent Panasonic
United States International Trade Commission
Deposition Testimony

In the Matter of Certain Wiper Blades Investigation No. 337-TA-816 On behalf of Respondents United States International Trade Commission Hearing (written) and Deposition Testimony

InLine Connection, Corp v. AOL Time Warner, Inc. and American Online, Inc Civil Action 02-272 United States District Court for the District of Delaware Deposition Testimony

InLine Connection, Corp v. Earthlink, Inc. Civil Action 02-477 United States District Court for the District of Delaware



### Deposition Testimony

Innovention Toys, LLC v. MGA Entertainment, Inc., Wal-Mart Stores, Inc. and Toys 'R Us, Inc.
Civil Action No. 07-6510
United States District Court for the Eastern District of Louisiana
Trial and Deposition Testimony

InterDigital Technology Corporation v. Motorola, Inc. Civil Action No. 94-73 United States District Court for the District of Delaware Trial and Deposition Testimony

Invensas Corporation v. Renesas Electronics Corporation and Renesas Electronics America, Inc.
Case No. 11-cv-00448-GMS
United States District Court for the District of Delaware
Deposition Testimony

Invention Capital Partners v. Phoenix Technologies Ltd., Marlin Equity Partners, et. al Case No: 113CV242491 Superior Court of the State of California County of Santa Clara Deposition Testimony

Isogon Corporation v. Amdahl Corporation Civil Action No. 97 CIV 6219 (SAS) United States District Court for the Southern District of New York Deposition Testimony

J.M. Voith GmbH v. Beloit Corp. Civil Action No. 93C-0902C United States District Court for the Western District of Wisconsin Trial Testimony

J.M. Voith GmbH v. Beloit Corp. Civil Action No. 93C-0905C United States District Court for the Western District of Wisconsin Trial and Deposition Testimony

Jamdat Mobile, Inc. v. JAMSTER International Sarl, Ltd; JAMBA! GMBH; and Verisign, Inc.
Civil Action No. CV05-3945 PA (FMOx)
Deposition Testimony

Jenner & Block LLP v. Parallel Networks, LLC and EpicRealm Licensing LP JAMS Arbitration No. 1310019934
Arbitration and Deposition Testimony

John W. Evans, et al. v. General Motors Corporation Docket # X06-CV-94-0156090S Superior Court of Connecticut Judicial District of Waterbury



### Deposition Testimony

Joy Recovery Technology Corp. v. The Penn Central Corp. and Carol Cable Company, Inc., aka General Cable Industries, Inc. Civil Action No. 93 C 0992

Deposition Testimony

K-Tube Corp. v. Sterling Stainless Tube Corp. et al. Case No. CV 90 1653 JLQ (M) Trial and Deposition Testimony

Kay-Cee Enterprises, Inc. v. Amoco Oil Company Civil Action No. 97-2406 (JWL) United States District Court for the District of Kansas Trail and Deposition Testimony

Kennecott Corporation v. Kyocera International Civil Action No. 80-0516 R (M) United States District Court for the Southern District of California Deposition Testimony

Kimberly-Clark Corporation v. Cardinal Health 200, LLC Civil Action No. 1:10 CV-0034-CAP United States District Court Northern District of Georgia, Atlanta Division Deposition Testimony

Kinetic Concepts, Inc., KCI Licensing, Inc., KCI USA, Inc. and Wake Forest University Health Services v. Bluesky Medical Group, Inc., Richard Weston, Medela AG, Medela, Inc., and Patient Care Systems, Inc. Civil Action SA-03-CA-0832-RG United States District Court Western District of Texas San Antonio Division Trial and Deposition Testimony

Kinetic Concepts, Inc., KCI Licensing, Inc., KCI USA, Inc. and Wake Forest University Health Services v. Bluesky Medical Group, Inc. and Smith & Nephew, Inc.

Case No. SA:08-CV-00102-WRF

United States District Court Western District of Texas San Antonio Division Preliminary Injunction Hearing, Trial and Deposition Testimony

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United States District Court for the Middle District of North Carolina Deposition Testimony

Kruse Technology Partnership v. Caterpillar, Inc. Case No. CV 04-10435 United States District Court for the Central District of California Deposition Testimony



Keurig, Inc. v. Kraft Foods Global, Inc., Tassimo Corp., and Kraft Foods Inc. C.A. No. 07-17 (GMS)
United States District Court for the District of Delaware
Deposition Testimony

Leo Pharma A/S v. Tolmar, Inc. et al. United States District Court for District of Delaware C.A. No. 10-269 (SLR) Deposition Testimony

Lincoln Electric Company, et al. v. National Standard, LLC No. 1:09-cv-01886-DCN United States District Court of Ohio Eastern Division Deposition Testimony

LNP Engineering Plastics, Inc. and Kawasaki Chemical Holding Co., Inc. v. Miller Waste Mills, Inc. trading as RTP Company Civil Action No. 96-462 (RRM)
United States District Court for the District of Delaware
Trial Testimony

Lucent Technologies Inc. v. Extreme Networks, Inc. Civil Action No. 03-508 (JJF) United States District Court for the District of Delaware Trial and Deposition Testimony

Lunar Corp. & The UAB Research Foundation v. EG&G Astrophysics Research Corp.
Civil Action No. 96-C-199-S
Trial Testimony

Matsushita Electric Industrial Co., Ltd. v. MediaTek, Inc., Oppo Digital., and Micro-Star International Computer Corp.
Case No. C05-03148 MMC
United States District Court for the Northern District of California San Francisco Division
Deposition Testimony

McKinley v. Zdeb Civil Action No. 99-S-1178 United States District Court for the District of Colorado Fact Deposition Testimony

Medgraph, Inc. v. Medtronic, Inc. Case No. 6:09-cv-06610-DGL-MWP United States District Court for the Western District of New York Rochester Division Deposition Testimony

Medtronic Xomed, Inc. v. Gryus ENT LLC Case No.: 3:04CV400-J-32 MCR



United States District Court for the Middle District of Florida Jacksonville Division Deposition Testimony

MEI, Inc. v. JCM American Corp & Japan Cash Machine Co. Ltd. United States District Court for the District of New Jersey Civil Action No. 09-00351 Deposition Testimony

Message Phone, Inc. v. SVI Systems, Inc. and Tharaldson Properties Civil Action No. 379CV-1813H Trial Testimony

MGA Entertainment, Inc. and Isaac Larian v. Hartford Insurance Company of the Midwest, Harford Fire Insurance Company, The Hartford Financial Services Group and Does 1 through 10.

Case No. CV 08-0457 DOC (RNBx)

United States District Court for the Central District of California Southern Division

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Military Professional Services, Inc. v. BancOhio National Bank Civil Action No. 91-5032 Deposition Testimony

Minebea Co., Ltd., Precision Motors Deutsche Minebea GmbH, and Nippon Miniature Bearing Corp. v. George Papst, Papst Licensing GmbH, and Papst Licensing Verwaltungsgesellschaft MIT Beschrankter Haftung Civil Action No. 97-CV-590 (PLF)
Trial and Deposition Testimony

Mitek Surgical Products, Inc. v. Arthrex, Inc. Case No. 1:96CV 0087S United States District Court for the District of Utah, Central Division Deposition Testimony

Mitsubishi Electric Corp., Koninklijke Philips N.V., Thomson Licensing, GE Technology Development, Inc. Panasonic Corporation and Sony Corporation v. Sceptre, Inc.

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United States District Court for the Central District of California
Deposition Testimony

Money Suite Company v. Insurance Answer Center, LLC; Answer Financial, Inc.; AllState Insurance Company; Esurance Insurance Services, Inc. United States District Court Central District of California Southern Division Deposition Testimony

Motorola, Inc. v. InterDigital Technology Corporation Civil Action No. 93-488 United States District Court for the District of Delaware Trial and Deposition Testimony



Nellcor Puritan Bennett, LLC v. CAS Medical Systems, Inc. Case No. 2:11-CV-15697 United States District Court for the Eastern District of Michigan Southern Division Deposition Testimony

Netlist, Inc. v. Diablo Technologies, Inc. Civil Action No. 4:13-CV-05962-YGR United States District Court for the Central District of California Oakland Division Trial Testimony

Nomadix, Inc. v. Hewlett-Packard Company, et al. Civil Action No. CV09-08441 DDP(VBKx) United States District Court for the Central District of California Western Division Deposition Testimony

Nomix Corporation v. Quikrete Companies, Inc. Civil Action No. H88-463-AHN Trial and Deposition Testimony

Orthofix, Inc., et al v. EBI Medical Systems, Inc., et al. Civil Action No. 95-6035 (SMO) United States District Court for the District of New Jersey Trial and Deposition Testimony

Pharmacia & Upjohn Company, LLC v. Sicor Inc. and Sicor Pharmaceuticals, Inc.
Civil Action No. 04-833 (KAJ)
United States District Court for the District of Delaware
Deposition Testimony

Picker International, Inc. v. Mayo Foundation, et al.
Case No. 95-CV-2028
United States District Court for the Northern District of Ohio, Eastern Division
Trial and Deposition Testimony

Penda Corporation v. United States of America and Cadillac Products, Inc. Case No. 473-89-C
United States Court of Federal Claims
Trial and Deposition Testimony

Peter Daou and James Boyce v. Arianna Huffington, Kenneth Lerer and TheHuffingtonPost.com, Inc. Index No. 651997/2010
Supreme Court of the State of New York, County of New York Deposition Testimony

Power Integrations, Inc. v. Fairchild Semiconductor International, Inc., Fairchild Semiconductor Corporation and System General Corporation



Case No. 3:09-cv-05235-MMC United States District Court for the Northern District of California Trial and Deposition Testimony

Powertech Technology, Inc. v. Tessera, Inc. Case No. CV10-00945EMC United States District Court for the Northern District of California Deposition Testimony

Praxair, Inc. and Praxair Technology, Inc. v. ATMI, Inc. and Advanced Technology Materials, Inc.
Civil Action No. 03-1158-SLR
United States District Court District of Delaware
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Prism Technologies, LLC v. AT&T Mobility, LLC Civil Action No. 8:12-cv-122-LES-TDT United States District Court of Nebraska Deposition Testimony

Prism Technologies, LLC v. T-Mobile USA, Inc. Civil Action No. 8:12-cv-00124 United States District Court of Nebraska Trial and Deposition Testimony

Prism Technologies, LLC v. Sprint Spectrum L.P. d/b/a/ Sprint PCS Civil Action No. 8:12-cv-123-LES-TDT United States District Court of Nebraska Trial and Deposition Testimony

The Procter & Gamble Company v. Paragon Trade Brands, Inc. Civil Action No. 94-16-LON United States District Court for the District of Delaware Trial and Deposition Testimony

QR Spex, Inc. and Thomas G. Swab v. Motorola, Inc. and Frog Design, Inc. Civil Action No 03-6284 JFW (FMOx)
United States District Court for the Central District of California
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Qualcomm, Inc. v. InterDigital Communications Corporation Case No. 93-1091G (LSP) Deposition Testimony

Quickie, LLC v. Medtronic, Inc. Civil Action No. 02 CV 1157 (GEL) United States District Court for the Southern District of New York Deposition Testimony

Radware, LTD, and Radware, Inc. v. F5 Networks, Inc. Civil Action No. 5:13-cv-02024 RMW



United States District Court for the Southern District of California San Jose Division

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Remcor v. Scotsman/Booth Civil Action No. 93 C 1822 United States District Court for the Northern District of Illinois, Eastern Division Deposition Testimony

Remcor v. Servend Civil Action No. 93 C 1823 United States District Court for the Northern District of Illinois, Eastern Division Deposition Testimony

Rensselaer Polytechnic Institute and Dynamic Advances, LLC v. Apple Inc. Case No 1:13-cv-00633 (DNH/DEP)
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Robert E. Morley, Jr. and REM Holdings 3, LLC v. Square, Inc., Jack Dorsey and James McKelvey, Jr. No. 4:14-cv-00172-CDP United States District Court for the Eastern District of Missouri Deposition Testimony

Rommy Hunt Revson v. The Limited, Inc. et al. Civil Action No. 90-3840 (MGC) Deposition Testimony

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Case No. 07-4955 RGK (FFMx)
United States District Court for the Central District of California
Deposition Testimony

Ronald A. Katz Technology Licensing, LP v. AOL, LLC, CompuServe Interactive Services and Netscape Communications Corporation CV 07-2134 RGK (FFMx) United States District Court for the Central District of California Deposition Testimony

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Case No. 2:07-ML-01816 / 02314 RGK-FFM



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Ronald A. Katz Technology Licensing, LP v. Charter Communications, Inc.; Charter Communications Holding Company, LLC; Charter Communications Operating, LLC; and Charter Communications Entertainment I, LLC CV 07-2134 RGK (FFMx)
United States District Court for the Central District of California Deposition Testimony

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United States District Court for the Central District of California Deposition Testimony

Ronald A. Katz Technology Licensing, LP v. Comcast Corporation, Sirius-XM Radio, Inc., et al.

NO. 2:07-ML-01816-C RGK (FFMx)

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Ronald A. Katz Technology Licensing, LP v. DHL Holdings (USA) Inc., DHL Express (USA), Inc., and Sky Courier, Inc. Case No. 07-ml-01816-B RGK (FFMx)
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Case No. 07-4960 RGK (FFMx)
United States District Court for the Central District of California
Deposition Testimony

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United States District Court for the Central District of California Deposition Testimony

Ronald A. Katz Technology Licensing, LP v. United States Cellular Corporation, TDS Telecommunications Corporation and TDS Metrocom, LLC Case No.07-ML-01816-B-RGK (FFMX)
United States District Court for the Central District of California Deposition Testimony

Rosetta Stone Ltd. v. Google Inc. Civil Action No. 1:09 CV 736 GBL / JFA United States District Court for the Eastern District of Virginia Deposition Testimony



RWM Kinetic Enterprises, Inc. and Thomas J. Ring v. Kinetic Concepts, Inc. and KCI Therapeutic Services, Inc.

Case No. SA-96-CA-603-OG

United States District Court for the Western District of Texas San Antonio Division

Trial Testimony

Saxon Innovations, LLC v. Nokia Corp, et al. (including Samsung Electronics, Co. and related parties)

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United States District Court for the Eastern District of Texas Tyler Division Deposition Testimony

Semiconductor Energy Laboratory Co., Ltd. v. Samsung Electronics Co., Ltd., S-LCD Corporation, Samsung Electronics America, Inc. Samsung Telecommunications America, LLC Civil Action No. 3:09-cv-00001
United States District Court for the Western District of Wisconsin Deposition Testimony

Silicon Image, Inc. v. Analogix Semiconductor, Inc. Case No. C 07-00635 JCS United States District Court for the Northern District of California, San Francisco Division Deposition Testimony

Site Microsurgical Systems v. The Cooper Companies Civil Action S92-766 Deposition Testimony

SmartPhone Technologies, LLC v. Research In Motion Corp. et. al (on behalf LG Electronics, Inc. and LG Electronics USA, Inc.)
Civil Action No. 6:10cv74-LED
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STMicroelectronics, Inc. v. SanDisk Corp. C.A. No. 4:05CV45 United States District Court of Texas Sherman Division Deposition Testimony



Takata Corp. v. Allied Signal, Inc. and Breed Technologies, Inc. Civil Action CV-95-1750 Deposition Testimony

Technol Medical Products, Inc., et al v. Robert Busse & Co., Inc. Civil Action No. 3:94-CV-2284-X Deposition Testimony

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Massachusetts Superior Court for Suffolk County

Deposition Testimony

Tessera, Inc. v. Advanced Micro Devices, Inc. et al. Case No. 4:05-cv-04063-CW United States District Court for Northern District of California Oakland Division Deposition Testimony

Tessera, Inc. v. UTAC (Taiwan) Corporation
Case No.: 5:10-cv-04435-EJD
United States District Court for Northern District of California San Jose
Division
Deposition Testimony

Therma-Tru Corporation v. Caradon Peachtree, Inc. Civil Action No. 95-CV-75534-DT Deposition Testimony

Toro Company v. MTD Products Inc., MTD Consumer Group Inc., and Cub Cadet LLC
Civil Action No 10-cv-007-JNE-TNL
United States District Court for the District of Minnesota
Deposition Testimony

Ultratec, Inc. and CapTel, Inc. v. Sorenson Communications, Inc. and CaptionCall, LLC
Case No.: 3:14-cv-66-BBC
United States District Court for the Western District of Wisconsin Trial and Deposition Testimony

Unwired Planet, LLC v. Apple, Inc. Case No. 3:13-cv-4134-VC United States District Court for the Northern District of California San Francisco Division Deposition Testimony

Valmet Paper Machinery, Inc. and Valmet-Charlotte, Inc. v. Beloit Corporation Civil Action No. 93-C-587-C United States District Court for the Western District of Wisconsin Trial and Deposition Testimony



Verinata Health, Inc. and the Board of Trustees of the Leland Stanford Junior University v. Sequenom, Inc. and Sequenom Center for Molecular Medicine, LLC.

Case No. 3:12-cv-00865-SI Deposition Testimony

Volterra Semiconductor Corporation v. Primarion, Inc., Infineon Technologies AG and Infineon Technologies North America Corporation Case No. C 08-05129 CRB
United States District Court for the Northern District of California San Francisco Division
Deposition Testimony

Wang Laboratories, Inc. v. America Online, Inc. and Netscape Communications
Corporation
Civil Action No. 97-1628-A
United States District Court for the Fastern District of Virginia

United States District Court for the Eastern District of Virginia Deposition Testimony

Wang Laboratories, Inc. v. FileNet Corporation Civil Action No. 94-12141-RCL Deposition Testimony

Waukesha Cherry-Burrell v. Wrightech Corporation Civil Action No. 96-CV-00384 Deposition Testimony

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United States District Court for the Central District of California Deposition Testimony

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No. 5:06CV246-DF

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Texarkana Division

Deposition Testimony

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Case No. 2:14-cv-00203

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Inventor, United States Patent No. 9,058,628, Marketplace for Trading Intangible Asset Derivatives and a Method for Trading Intangible Asset Derivatives, June 16, 2015.

#### CONTACT

James E. Malackowski Chairman and Chief Executive Officer Ocean Tomo, LLC 200 West Madison 37<sup>th</sup> Floor Chicago, Illinois 60606

312-327-4410 Direct 312-327-4401 Facsimile 312-560-8500 Personal jmalackowski@oceantomo.com Oracle America, Inc. v. Google, Inc.

#### DOCUMENTS CONSIDERED

Exhibit 2

I was provided with access to a Relativity database of materials produced in this case. I reviewed the documents provided therein through keyword searches. The following list contains many of the documents I reviewed in that database, as well as other documents provided to me by counsel, though it should not be considered comprehensive.

#### Documents Produced by Google

GOOG-00273854 - GOOG-00273874	GOOGLE-22-00072075	GOOGLE-26-00023560 - GOOGLE-26-00023578	GOOGLE-37-00023782 - GOOGLE-37-00023785
GOOG-00276658 - GOOG-00276675	GOOGLE-22-00072076 - GOOGLE-22-00072145	GOOGLE-26-00023579 - GOOGLE-26-00023597	GOOGLE-38-00010714 - GOOGLE-38-00010720
GOOG-00352702 - GOOG-00352724	GOOGLE-22-00073879	GOOGLE-26-00023598 - GOOGLE-26-00023615	GOOGLE-38-00020572 - GOOGLE-38-00020573
GOOG-00577366 - GOOG-00577445	GOOGLE-22-00073880 - GOOGLE-22-00073949	GOOGLE-26-00023653 - GOOGLE-26-00023667	GOOGLE-38-00025643 - GOOGLE-38-00025646
GOOG-10004337 - GOOG-10004341	GOOGLE-22-00122688	GOOGLE-26-00023709 - GOOGLE-26-00023728	GOOGLE-38-00127518
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GOOGLE-00003255 - GOOGLE-00003256	GOOGLE-22-00124382 - GOOGLE-22-00124384	GOOGLE-26-00024751 - GOOGLE-26-00024765	GOOGLE-49-00033349 - GOOGLE-49-00033356
GOOGLE-00003257 - GOOGLE-00003265	GOOGLE-22-00124385 - GOOGLE-22-00124454	GOOGLE-26-00025152 - GOOGLE-26-00025158	GOOGLE-52-00000299 - GOOGLE-52-00000312
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GOOGLE-17-00023004 GOOGLE-17-00030539 - GOOGLE-17-00030540	GOOGLE-26-00005905 - GOOGLE-26-00005912 GOOGLE-26-00006162 - GOOGLE-26-00006169	GOOGLE-27-00007/72 - GOOGLE-27-00007/89 GOOGLE-27-00008067 - GOOGLE-27-00008077	GOOGLE-66-00004127 - GOOGLE-60-00004130 GOOGLE-66-00004237 - GOOGLE-66-00004243
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GOOGLE-17-00030341 - GOOGLE-17-00030607 GOOGLE-17-00113234	GOOGLE-26-000062/5 - GOOGLE-26-00006500 GOOGLE-26-00006666 - GOOGLE-26-00006691	GOOGLE-27-00008384 - GOOGLE-27-00008380 GOOGLE-27-00009337 - GOOGLE-27-00009341	GOOGLE-81-00007446
GOOGLE-17-00113234 GOOGLE-17-00679499	GOOGLE-26-00006666 - GOOGLE-26-00006691 GOOGLE-26-00010176 - GOOGLE-26-00010183	GOOGLE-27-00009337 - GOOGLE-27-00009341 GOOGLE-29-00002087	GOOGLE-81-00007497 - GOOGLE-81-00007573
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GOOGLE-22-00031024 - GOOGLE-22-00031893	GOOGLE-20-00023339 - GOOGLE-20-0002333/	GOOGLE-30-00130040 - GOOGLE-30-00130030	

Oracle America, Inc. v. Google, Inc.

#### DOCUMENTS CONSIDERED

OAGOOGLE2000003716

Exhibit 2

I was provided with access to a Relativity database of materials produced in this case. I reviewed the documents provided therein through keyword searches. The following list contains many of the documents I reviewed in that database, as well as other documents provided to me by counsel, though it should not be considered comprehensive.

Documents Produced by Oracle		 
OAGOOGLE0000021991	OAGOOGLE2000003717	
OAGOOGLE0000034595	OAGOOGLE2000003718	
OAGOOGLE0000034596	OAGOOGLE2000003719	
OAGOOGLE0000034597	OAGOOGLE2000003720	
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OAGOOGLE0000191668	OAGOOGLE2000166621	
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OAGOOGLE0004260166 - OAGOOGLE0004260187	OAGOOGLE20001007/4 - OAGOOGLE2000100783 OAGOOGLE2000166786 - OAGOOGLE2000166797	
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OAGOOGLE2000003710		
OAGOOGLE2000003713		
OAGOOGLE2000003715		

Oracle America, Inc. v. Google, Inc.

#### **DOCUMENTS CONSIDERED**

Exhibit 2

## Depositions

Deposition of Jonathan Gold, January 29, 2016 with Exhibits

Deposition of Henrik Stahl, January 14, 2016, with Exhibits

Deposition of Felix Lin, December 14, 2015, with Exhibits

Deposition of Felix Lin, December 18, 2015, with Exhibits

# **Expert Reports**

Expert Report of Chris F. Kemerer, Ph.D., January 8, 2016

Expert Report of Professor Douglas C. Schmidt, Ph.D., January 8, 2016

Expert Report of Robert Zeidman, January 8, 2016

Opening Expert Report of Dr. Owen Astrachan on Technical Issues Relating to Fair Use, January 8, 2016

Opening Expert Report of Roderic G. Cattell, Ph.D., January 8, 2016

Opening Expert Report of Andrew Hall, January 8, 2016

Rebuttal Expert Report of Dr. Owen Astrachan, February 8, 2016

Rebuttal Expert Report of Andrew Hall, February 8, 2016

Expert Report of Dr. Gregory K. Leonard, February 8, 2016

Expert Report of Dr. Itamar Simonson, February 8, 2016

Expert Report of Professor Adam Jaffe, Ph.D., February 8, 2016

Expert Report of Chris F. Kemerer, Ph.D. Regarding Fair Use and Rebuttal to Google's Opening Expert Reports, February 8, 2016

Rebuttal Expert Report of Gwyn Firth Murray, February 8, 2016

Expert Report of Professor Douglas C. Schmidt, Ph.D. Regarding Fair Use and Rebuttal to Google's Opening Expert Reports, February 8, 2016

Reply Expert Report of Chris F. Kemerer, Ph.D., February 29, 2016

Reply Expert Report of Professor Adam Jaffe, Ph.D., February 29, 2016

Reply Expert Report of Professor Douglas C. Schmidt, Ph.D., February 29, 2016

Oracle America, Inc. v. Google, Inc. **DOCUMENTS CONSIDERED**Exhibit 2

				Trial Exhibits			
0002	0046.14	0047.2	0063	0098	0135	0174	0214
0004	0046.15	0047.20	0064	0099	0136	0175	0216
0005	0046.16	0047.21	0065	0100	0137	0176	0218
0006	0046.17	0047.22	0066	0101	0138	0177	0219
0009	0046.18	0047.23	0067	0102	0139	0178	0220
0011	0046.19	0047.24	0068	0103	0140	0179	0221
0014	0046.2	0047.25	0069	0105	0141	0180	0222
0015	0046.20	0047.26	0070	0106	0142	0181	0223
0016	0046.21	0047.27	0071	0107	0143	0182	0224
0019	0046.22	0047.28	0072	0108	0144	0183	0225
0020	0046.23	0047.29	0073	0109	0145	0184	0226
0025	0046.24	0047.3	0074	0110	0146	0185	0227
0030	0046.25	0047.30	0075	0111	0147	0186	0228
0032	0046.26	0047.4	0076	0112	0148	0187	0229
0034	0046.27	0047.5	0077	0113	0149	0188	0231
0035	0046.28	0047.6	0078	0114	0150	0189	0232
0036	0046.29	0047.7	0079	0115	0151	0190	0233
0037	0046.3	0047.8	0080	0116	0152	0191	0235
0038	0046.4	0047.9	0081	0117	0153	0192	0236
0039	0046.5	0047	0082	0118	0155	0193	0237
0040	0046.6	0048	0083	0119	0156	0194	0238
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0042	0046.8	0050	0085	0121	0160	0197	0240
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0043	0046	0052	0087	0123	0162	0199	0242
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0045	0047.13	0057	0092	0129	0168	0205	0247
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Oracle America, Inc. v. Google, Inc. **DOCUMENTS CONSIDERED**Exhibit 2

-	Trial Exhibits										
0253	0289	0325	0362	0400	0437	0472	0507				
0254	0290	0327	0363	0401	0438	0473	0508				
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0257	0293	0330	0366	0404	0441	0476	0511				
0258	0294	0331	0367	0406	0442	0477	0512				
0259	0295	0332	0368	0407	0443	0478	0513				
0260	0296	0333	0369	0408	0444	0479	0514				
0261	0298	0334	0371	0409	0445	0480	0515				
0262	0299	0335	0372	0410	0446	0481	0516				
0263	0300	0336	0373	0411	0447	0482	0517				
0264	0301	0337	0374	0412	0448	0483	0518				
0265	0302	0338	0375	0413	0449	0484	0519				
0266	0303	0339	0376	0414	0450	0485	0520				
0267	0304	0340	0377	0415	0451	0486	0521				
0268	0305	0342	0378	0416	0452	0487	0522				
0270	0306	0343	0379	0417	0453	0488	0523				
0271	0307	0344	0380	0418	0454	0489	0524				
0272	0308	0345	0381	0419	0455	0490	0525				
0273	0309	0346	0383	0420	0456	0491	0526				
0274	0310	0347	0384	0421	0457	0492	0527				
0275	0311	0348	0385	0422	0458	0493	0528				
0276	0312	0349	0386	0423	0459	0494	0529				
0277	0313	0350	0388	0424	0460	0495	0532				
0278	0314	0351	0389	0425	0461	0496	0533				
0279	0315	0352	0390	0426	0462	0497	0534				
0280	0316	0353	0391	0427	0463	0498	0535				
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0285	0321	0358	0396	0433	0468	0503	0540				
0286	0322	0359	0397	0434	0469	0504	0541				
0287	0323	0360	0398	0435	0470	0505	0542				
0288	0324	0361	0399	0436	0471	0506	0543				

Oracle America, Inc. v. Google, Inc. **DOCUMENTS CONSIDERED**Exhibit 2

Trial Exhibits										
0544	0580	0613	0638	0673	0708	0743	0778			
0545	0581	0614	0639	0674	0709	0744	0779			
0546	0582	0615	0640	0675	0710	0745	0780			
0547	0583	0616	0641	0676	0711	0746	0781			
0548	0584	0617	0642	0677	0712	0747	0782			
0549	0585	0620	0643	0678	0713	0748	0783			
0550	0586	0621	0644	0679	0714	0749	0784			
0551	0587	0622	0645	0680	0715	0750	0785			
0552	0588	0623.1	0646	0681	0716	0751	0786			
0553	0589	0623.10	0647	0682	0717	0752	0787			
0554	0590	0623.101	0648	0683	0718	0753	0788			
0555	0591	0623.102	0649	0684	0719	0754	0789			
0556	0592	0623.2	0650	0685	0720	0755	0790			
0557	0593	0623.3	0651	0686	0721	0756	0791			
0558	0594	0623.4	0652	0687	0722	0757	0792			
0559	0595	0623.5	0653	0688	0723	0758	0793			
0560	0596	0623.6	0654	0689	0724	0759	0794			
0561	0597	0623.7	0655	0690	0725	0760	0795			
0562	0598	0623.8	0656	0691	0726	0761	0796			
0563	0599	0623.9	0657	0692	0727	0762	0797			
0564	0600	0623	0658	0693	0728	0763	0798			
0566	0601	0624	0659	0694	0729	0764	0799			
0567	0602	0625	0660	0695	0730	0765	0800			
0568	0603	0626	0661	0696	0731	0766	0801			
0569	0604	0627	0662	0697	0732	0767	0802			
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0573	0608	0631	0666	0701	0736	0771	0806			
0574	0609	0632	0667	0702	0737	0772	0807			
0575	0610.2	0633	0668	0703	0738	0773	0808			
0576	0610	0634	0669	0704	0739	0774	0809			
0577	0611.1	0635	0670	0705	0740	0775	0810			
0578	0611	0636	0671	0706	0741	0776	0811			
0579	0612	0637	0672	0707	0742	0777	0812			

Oracle America, Inc. v. Google, Inc.
DOCUMENTS CONSIDERED

				Trial Exhibits				
0813	0848	0883	0910	0946	0977	1012	1053	
0814	0849	0884	0911	0947	0978	1013	1054	
0815	0850	0885	0912	0948	0979	1014	1055	
0816	0851	0886	0913	0949	0980	1015	1057	
0817	0852	0887	0914	0950	0981	1016	1058	
0818	0853	0888	0915	0951	0982	1017	1059	
0819	0854	0889	0916	0952	0983	1018	1060	
0820	0855	0890	0918	0953	0984	1019	1062	
0821	0856	0891	0919	0954	0985	1020	1063	
0822	0857	0892	0920	0955	0986	1021	1064	
0823	0858	0893	0921	0956	0987	1022	1065	
0824	0859	0894	0922	0957	0988	1023	1066	
0825	0860	0895	0923	0958.1	0989	1024	1067	
0826	0861	0896.1	0924	0958.2	0990	1025	1068	
0827	0862	0896.2	0925	0958.3	0991	1027	1069	
0828	0863	0896.3	0926	0958.4	0992	1028	1070	
0829	0864	0896.4	0927	0958	0993	1030	1071	
0830	0865	0896.5	0928	0959	0994	1031	1072	
0831	0866	0896.6	0929	0960	0995	1032	1073	
0832	0867	0896.7	0930	0961	0996	1033	1075	
0833	0868	0896.8	0931	0962	0997	1034	1076	
0834	0869	0896	0932	0963	0998	1035	1077	
0835	0870	0897	0933	0964	0999	1036	1078	
0836	0871	0898	0934	0965	1000	1037	1079	
0837	0872	0899	0935	0966	1001	1038	1081	
0838	0873	0900	0936	0967	1002	1039	1090	
0839	0874	0901	0937	0968	1003	1040	1091	
0840	0875	0902	0938	0969	1004	1041	1092	
0841	0876	0903	0939	0970	1005	1042	1093	
0842	0877	0904	0940	0971	1006	1043	1094	
0843	0878	0905	0941	0972	1007	1044	1095	
0844	0879	0906	0942	0973	1008	1046	1096	
0845	0880	0907	0943	0974	1009	1048	1097	
0846	0881	0908	0944	0975	1010	1049	1098	
0847	0882	0909	0945	0976	1011	1052	1099	

Oracle America, Inc. v. Google, Inc. DOCUMENTS CONSIDERED Exhibit 2

	Trial Exhibits									
1100	1206	2023	2058	2093	2128	2163	2199			
1101	1208.1	2024	2059	2094	2129	2164	2200			
1102	1210	2025	2060	2095	2130	2165	2201			
1103	1211	2026	2061	2096	2131	2166	2203			
1104	1212	2027	2062	2097	2132	2167	2204			
1105	1213	2028	2063	2098	2133	2168	2205			
1106	1214.1	2029	2064	2099	2134	2169	2206			
1107	1214	2030	2065	2100	2135	2170	2207			
1108	1215	2031	2066	2101	2136	2171	2208			
1109	1216	2032	2067	2102	2137	2172	2209			
1110	1217	2033	2068	2103	2138	2173	2210			
1111	1218	2034	2069	2104	2139	2174	2211			
1112	1219	2035	2070	2105	2140	2175	2212			
1113	2000	2036	2071	2106	2141	2176	2213			
1114	2001	2037	2072	2107	2142	2177	2214			
1115	2002	2038	2073	2108	2143	2178	2215			
1116	2003	2039	2074	2109	2144	2179	2216			
1117	2004	2040	2075	2110	2145	2180	2217			
1118	2005	2041	2076	2111	2146	2181	2218			
1119	2006	2042	2077	2112	2147	2182	2219			
1120	2007	2043	2078	2113	2148	2183	2220			
1125	2008	2044	2079	2114	2149	2184	2221			
1126	2010	2045	2080	2115	2150	2185	2222			
1127	2011	2046	2081	2116	2151	2186	2223			
1128	2012	2047	2082	2117	2152	2187	2224			
1129	2013	2048	2083	2118	2153	2188	2225			
1130	2014	2049	2084	2119	2154	2189	2226			
1131	2015	2050	2085	2120	2155	2190	2227			
1132	2016	2051	2086	2121	2156	2191	2228			
1133	2017	2052	2087	2122	2157	2192	2229			
1201	2018	2053	2088	2123	2158	2193	2230			
1202	2019	2054	2089	2124	2159	2194	2231			
1203.1	2020	2055	2090	2125	2160	2196	2232			
1204	2021	2056	2091	2126	2161	2197	2233			
1205	2022	2057	2092	2127	2162	2198	2234			

Oracle America, Inc. v. Google, Inc.

DOCUMENTS CONSIDERED

				Trial Exhibits				
2235	2270	2305	2340	2377	2412	2447	2482	
2236	2271	2306	2341	2378	2413	2448	2483	
2237	2272	2307	2342	2379	2414	2449	2484	
2238	2273	2308	2343	2380	2415	2450	2485	
2239	2274	2309	2344	2381	2416	2451	2486	
2240	2275	2310	2345	2382	2417	2452	2487	
2241	2276	2311	2346	2383	2418	2453	2488	
2242	2277	2312	2348	2384	2419	2454	2489	
2243	2278	2313	2349	2385	2420	2455	2490	
2244	2279	2314	2350	2386	2421	2456	2491	
2245	2280	2315	2351	2387	2422	2457	2492	
2246	2281	2316	2352	2388	2423	2458	2493	
2247	2282	2317	2353	2389	2424	2459	2494	
2248	2283	2318	2354	2390	2425	2460	2495	
2249	2284	2319	2355	2391	2426	2461	2496	
2250	2285	2320	2356	2392	2427	2462	2497	
2251	2286	2321	2357	2393	2428	2463	2498	
2252	2287	2322	2358	2394	2429	2464	2499	
2253	2288	2323	2359	2395	2430	2465	2500	
2254	2289	2324	2360	2396	2431	2466	2501	
2255	2290	2325	2361	2397	2432	2467	2502	
2256	2291	2326	2362	2398	2433	2468	2503	
2257	2292	2327	2363	2399	2434	2469	2504	
2258	2293	2328	2364	2400	2435	2470	2505	
2259	2294	2329	2365	2401	2436	2471	2506	
2260	2295	2330	2366	2402	2437	2472	2507	
2261	2296	2331	2367	2403	2438	2473	2508	
2262	2297	2332	2368	2404	2439	2474	2509	
2263	2298	2333	2369	2405	2440	2475	2510	
2264	2299	2334	2370	2406	2441	2476	2511	
2265	2300	2335	2372	2407	2442	2477	2512	
2266	2301	2336	2373	2408	2443	2478	2513	
2267	2302	2337	2374	2409	2444	2479	2514	
2268	2303	2338	2375	2410	2445	2480	2515	
2269	2304	2339	2376	2411	2446	2481	2516	

Oracle America, Inc. v. Google, Inc. **DOCUMENTS CONSIDERED**Exhibit 2

				Trial Exhibits			
2517	2552	2587	2622	2657	2694	2724	2759
2518	2553	2588	2623	2658	2695	2725	2760
2519	2554	2589	2624	2659	2696	2726	2761
2520	2555	2590	2625	2660	2697	2727	2762
2521	2556	2591	2626	2661	2698	2728	2763
2522	2557	2592	2627	2662	2699	2729	2764
2523	2558	2593	2628	2663	2700	2730	2766
2524	2559	2594	2629	2664	2701	2731	2767
2525	2560	2595	2630	2665	2702	2732	2768
2526	2561	2596	2631	2666	2703	2733	2769
2527	2562	2597	2632	2667	2704	2734	2770
2528	2563	2598	2633	2668	2705	2735	2771
2529	2564	2599	2634	2669	2706	2736	2772
2530	2565	2600	2635	2670	2708	2737	2773
2531	2566	2601	2636	2671	2709	2738	2774
2532	2567	2602	2637	2672	2710	2739	2775
2533	2568	2603	2638	2673	2711	2740	2776
2534	2569	2604	2639	2674	2712	2741	2777
2535	2570	2605	2640	2675	2713	2742	2778
2536	2571	2606	2641	2676	2714	2743	2779
2537	2572	2607	2642	2677	2715	2744	2780
2538	2573	2608	2643	2678	2716	2745	2781
2539	2574	2609	2644	2679	2717	2746	2782
2540	2575	2610	2645	2680	2718.1	2747	2783
2541	2576	2611	2646	2681	2718.2	2748	2784
2542	2577	2612	2647	2682	2718.3	2749	2785
2543	2578	2613	2648	2683	2718.4	2750	2786
2544	2579	2614	2649	2684	2718.5	2751	2787
2545	2580	2615	2650	2685	2718.6	2752	2788
2546	2581	2616	2651	2688	2718	2753	2789
2547	2582	2617	2652	2689	2719	2754	2790
2548	2583	2618	2653	2690	2720	2755	2791
2549	2584	2619	2654	2691	2721	2756	2792
2550	2585	2620	2655	2692	2722	2757	2793
2551	2586	2621	2656	2693	2723	2758	2794

Oracle America, Inc. v. Google, Inc.

DOCUMENTS CONSIDERED

				Trial Exhibits				
2795	2829	2864	2898	2932	2966	3001	3037	
2796	2830	2865	2899	2933	2967	3002	3038	
2797	2831	2866	2900	2934	2968	3003	3039	
2798	2832	2867	2901	2935	2969	3004	3040	
2799	2833	2868	2902	2936	2970	3005	3041	
2800	2834	2869	2903	2937	2971	3006	3042	
2801	2835	2870	2904	2938	2972	3007	3043	
2802	2836	2871	2905	2939.1	2973	3008	3044	
2803	2837	2872	2906	2939	2974	3009	3045	
2804.1	2838	2873	2907	2940	2975	3010	3046	
2804	2839	2874	2908	2941	2976	3011	3047	
2805	2840	2875	2909	2942	2977	3012	3048	
2806	2841	2876	2910	2943	2978	3013	3049	
2807	2842	2877	2911	2944	2979	3014	3050	
2808	2843	2878	2912	2945	2980	3015	3051	
2809	2844	2879.01	2913	2946	2981	3016	3052	
2810	2845	2879	2914	2947	2982	3017	3053	
2811	2846	2880	2915	2948	2983	3018	3054	
2812	2847	2881	2916	2949	2984	3019	3055	
2813	2848	2882	2917	2950	2985	3020	3056	
2814	2849	2883	2918	2951	2986	3021	3057	
2815	2850	2884	2919	2952	2987	3022	3058	
2816	2851	2885	2920	2953	2988	3023	3059	
2817	2852	2886	2921	2954	2989	3024	3060	
2818	2853	2887	2922	2955	2990	3025	3061	
2819	2854	2888	2923	2956	2991	3026	3062	
2820	2855	2889	2924	2957	2992	3027	3063	
2821	2856	2890	2925.1	2958	2993	3028	3064	
2822	2857	2891	2925	2959	2994	3029	3065	
2823	2858	2892	2926	2960	2995	3031	3066	
2824	2859	2893	2927	2961	2996	3032	3067	
2825	2860	2894	2928	2962	2997	3033	3068	
2826	2861	2895	2929	2963	2998	3034	3069	
2827	2862	2896	2930	2964	2999	3035	3070	
2828	2863	2897	2931	2965	3000	3036	3071	

Oracle America, Inc. v. Google, Inc. DOCUMENTS CONSIDERED

	Trial Exhibits										
3072	3107	3142	3177	3212	3253	3288	3326				
3073	3108	3143	3178	3213	3254	3289	3327				
3074	3109	3144	3179	3214	3255	3290	3328				
3075	3110	3145	3180	3216	3256	3291	3329				
3076	3111	3146	3181	3217	3257	3292	3330				
3077	3112	3147	3182	3218	3258	3293	3331				
3078	3113	3148	3183	3219	3259	3294	3332				
3079	3114	3149	3184	3220	3260	3295	3333				
3080	3115	3150	3185	3221	3261	3296	3334				
3081	3116	3151	3186	3222	3262	3297	3335				
3082	3117	3152	3187	3223	3263	3298	3336				
3083	3118	3153	3188	3224	3264	3299	3337				
3084	3119	3154	3189	3225	3265	3300	3338				
3085	3120	3155	3190	3226	3266	3301	3339				
3086	3121	3156	3191	3227	3267	3302	3340				
3087	3122	3157	3192	3228	3268	3303	3341				
3088	3123	3158	3193	3229	3269	3304	3342				
3089	3124	3159	3194	3230	3270	3305	3343				
3090	3125	3160	3195	3231	3271	3306	3344				
3091	3126	3161	3196	3232	3272	3307	3345				
3092	3127	3162	3197	3233	3273	3308	3346				
3093	3128	3163	3198	3234	3274	3309	3347				
3094	3129	3164	3199	3235	3275	3310	3348				
3095	3130	3165	3200	3236	3276	3311	3349				
3096	3131	3166	3201	3238	3277	3312	3350				
3097	3132	3167	3202	3239	3278	3313	3351				
3098	3133	3168	3203	3240	3279	3314	3352				
3099	3134	3169	3204	3241	3280	3315	3356				
3100	3135	3170	3205	3242	3281	3316	3357				
3101	3136	3171	3206	3247	3282	3320	3360				
3102	3137	3172	3207	3248	3283	3321	3361				
3103	3138	3173	3208	3249	3284	3322	3365				
3104	3139	3174	3209	3250	3285	3323	3366				
3105	3140	3175	3210	3251	3286	3324	3367				
3106	3141	3176	3211	3252	3287	3325	3368				

Oracle America, Inc. v. Google, Inc. DOCUMENTS CONSIDERED

3369     3405     3443     3481     3516     4007       3370     3406     3444     3482     3517     4008       3371     3407     3445     3483     3518     4009       3372     3408     3446     3484     3519     4010       3373     3409     3447     3485     3520     4011       3374     3410     3448     3486     3521     4012       3375     3411     3450     3487     3522     4013	Trial Exhibits									
3370     3406     3444     3482     3517     4008       3371     3407     3445     3483     3518     4009       3372     3408     3446     3484     3519     4010       3373     3409     3447     3485     3520     4011       3374     3410     3448     3486     3521     4012										
3372     3408     3446     3484     3519     4010       3373     3409     3447     3485     3520     4011       3374     3410     3448     3486     3521     4012										
3373     3409     3447     3485     3520     4011       3374     3410     3448     3486     3521     4012										
3374 3410 3448 3486 3521 4012										
3375 3411 3450 3487 3522 4013										
5575 5771 5750 5707 5574										
3376 3412 3451 3488 3523 4014										
3377 3413 3452 3489 3524 4015										
3379 3416 3453 3490 3525 4016										
3380 3418 3454 3491 3526 4017										
3381 3419 3455 3492 3527 4018										
3382 3420 3456 3493 3528 4019										
3383 3421 3457 3494 3529 4020										
3384 3422 3458 3495 3530 4021										
3385 3423 3459 3496 3531 4022										
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3389 3427 3463 3500 3537 4026										
3390 3428 3465 3501 3538 4027										
3391 3429 3467 3502 3539 4028										
3392 3430 3468 3503 3540 4029										
3393 3431 3469 3504 3542 4030										
3394 3432 3470 3505 3543 4031										
3395 3433 3471 3506 3544 4032										
3396 3434 3472 3507 3545 4033										
3397 3435 3473 3508 3546 4034										
3398 3436 3474 3509 4000 4035										
3399 3437 3475 3510 4001										
3400 3438 3476 3511 4002										
3401 3439 3477 3512 4003										
3402 3440 3478 3513 4004										
3403 3441 3479 3514 4005										
3404 3442 3480 3515 4006										

### **DOCUMENTS CONSIDERED**

Exhibit 2

## **ECF Filings & Discovery**

Notice of Final Charge to the Jury (Phase One) and Special Verdict Form, April 30, 2012

Order re Copyrightability of Certain Replicated Elements of the Java Application Programming Interface, May 31, 2012

Order Re: Willfulness and Bifurcation, September 18, 2015

Order Re: Motion to Compel [Dkt. 1404], Docket No. 1436, January 20, 2016

Charts produced pursuant to Order re: Motion to Compel [Dkt. 1404], January 20, 2016

Order Re: Google's Motion to Strike, Docket No. 1479, February 5, 2016

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Computer Associates Int'l, Inc. v. Altai, Inc., 775 F.Supp. 544 (E.D.N.Y. 1991)

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#### **Other Produced Documents**

Email from Daniel Purcell to Annette Hurst, November 8, 2015.

Memo on Exhibits 2c, 2g, 2h, 3b and Footnote 277, and 3d.3, February 23, 2016

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Exhibit 2

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Exhibit 3

### **Oracle Timeline of Selected Events**

#### **Mid-nineties**

Sun develops the Java platform for computer programming and releases it. At that time, the API included seven packages of pre-written programs.<sup>1</sup>

- 1996 At the first-ever JavaOne developer conference, more than 6,000 attendees gather to learn more about Java technology. According to Sun, "[w]ith a broad range of Java-related product announcements from Sun and other companies and an exhibit hall filled with more than 160 businesses displaying Java products and services, it appears that a whole new industry is growing around a language launched just a year earlier."<sup>2</sup>
- "With approximately 400,000 developers working in Java, it is now the #2 programming language in the world. More than 10,000 developers flock to the second annual JavaOne developer conference, where Sun announces improved security and compatibility for Java and a range of licensees who plan to take Java beyond the desktop in futuristic devices such as smartcards."
- "Sun announces a redefined architecture for the Java platform that makes it simpler for software developers, service providers, and device manufacturers to target specific markets. According to Sun, "[w]ith the introduction of Java 2 Platform, Standard Edition (J2SE) for desktop and workstation devices; Java 2 Platform, Enterprise Edition (J2EE) for heavy-duty server systems; and Java 2 Platform, Micro Edition (J2ME) for consumer devices, it's now easier to capitalize on the Java platform for a growing range of opportunities."
- 2004 According to Sun, "[a]t the JavaOne developer conference, the big debate is whether Java should be open sourced. Currently, Sun requires that projects officially based on Java be certified as compatible with the Java specification; amendments to Java must go through Java Community Process (JCP) procedures."

"Open source advocates seek a freer path for Java. During a panel discussion at JavaOne, representatives from IBM and the Apache Software Foundation endorse an open source model for Java, while Java creator and Sun Fellow James Gosling, along with Sun Vice President and Fellow Rob Gingell and Red Monk Analyst James Governor oppose the move. Gosling warns that allowing multiple, open source implementations of Java technologies could yield the incompatibilities that happened with Unix and is happening again with Linux distributions."

<sup>&</sup>lt;sup>1</sup> Java Timeline, 1995 – 2015, available at http://oracle.com.edgesuite.net/timeline/java; Trial Testimony of Mark Reinhold, Trial Transcript Vol. 3, April 18, 2012, p. 631.

<sup>&</sup>lt;sup>2</sup> Java Timeline, 1995 – 2015, available at http://oracle.com.edgesuite.net/timeline/java.

<sup>&</sup>lt;sup>3</sup> Java Timeline, 1995 – 2015, available at http://oracle.com.edgesuite.net/timeline/java.

<sup>&</sup>lt;sup>4</sup> Java Timeline, 1995 – 2015, available at http://oracle.com.edgesuite.net/timeline/java.

<sup>&</sup>lt;sup>5</sup> Java Timeline, 1995 – 2015, available at http://oracle.com.edgesuite.net/timeline/java.

Java celebrates its tenth anniversary with huge celebrations at the JavaOne developer conference and at Sun headquarters. Sun estimates Java now drives more than \$100 billion of business annually. It counts more than 4.5 million Java developers, 2.5 billion Javaenabled devices, and 1 billion Java technology-enabled smart cards. Analyst firm Ovum estimates that 708 million Java-enabled handsets were circulating by June 2005.6

Jul 11, 2005 Google acquires Android Inc.<sup>7</sup> as part of its mobile strategy. At the time, Android was a 22-month old start up based in Palo Alto, California. The acquisition "brings to Google a wealth of talent, including co-founder Andy Rubin, who previously started mobile-device maker Danger Inc."

2005-2006 Shortly after Google acquired Android, Inc., Sun and Google engage in a series of negotiations, which Sun referred to as "Project Armstrong." 10

**Sep 2005 -** Sun sends Google draft agreements for standard Java ME licenses; Google indicates it is seeking an approach that would allow an open-source implementation.<sup>11</sup>

Oct 11, 2005 – "In October 2005, following "discussions with Sun regarding Android's Open Source VM strategy," Google's then Senior Vice President, Andy Rubin, remarked in an e-mail, "If Sun doesn't want to work with us, we have two options: 1) Abandon our work and adopt MSFT CLR VM and C# language – or – 2) Do Java anyway and defend our decision, perhaps making enemies along the way." 12

In addition, Andy Rubin writes to Larry Page that Alan Brenner of Sun was concerned that "by open sourcing our J2ME VM we will make licensing 'enforceability' impossible for Sun – and he will lose revenue."<sup>13</sup>

**Jan 2006** – Andy Rubin tells Sergey Brin and Larry Page that, in connection with the Android deal, Sun was "prepared to walk away from a \$100M annual J2ME licensing business into an open source business model." <sup>14</sup> In other words, Google knew that Sun actually expected to lose hundreds of millions of dollars per year in turning to an open-source business model by licensing Java for use in Android. <sup>15</sup>

Jan 2006 – "Google internally discussed a possible co-development partnership deal under which Java technology would become an open-source part of the Android platform. The deal was projected to cost Google \$25-50 million, plus a negotiable share of revenue from

<sup>&</sup>lt;sup>6</sup> Java Timeline, 1995 – 2015, available at http://oracle.com.edgesuite.net/timeline/java.

<sup>&</sup>lt;sup>7</sup> Trial Exhibit 1061 at 131; see also, GOOGLE-01-00056184 – 202 at 195; Deposition of Andrew Rubin, April 5, 2011, p. 20.

<sup>&</sup>lt;sup>8</sup> "Google Buys Android for Its Mobile Arsenal", Bloomberg Businessweek, August 16, 2005.

<sup>&</sup>lt;sup>9</sup> Deposition of Andrew Rubin, April 5, 2011, pp. 12-13.

<sup>&</sup>lt;sup>10</sup> Project Armstrong: Business Model, February 2006, OAGOOGLE0100166874 – 899.

<sup>&</sup>lt;sup>11</sup> Email exchange between Leo Cizek and Andy Rubin, September 19, 2005, OAGOOGLE0100167795 - 798 at 797.

<sup>&</sup>lt;sup>12</sup> GOOGLE-01-00019527-528 at 528; Deposition of Andrew Rubin, April 5, 2011, p. 20.

<sup>&</sup>lt;sup>13</sup> Email between Andy Rubin and Larry Page, October 11, 2005, GOOGLE-01-00019527 – 528 at 527.

<sup>&</sup>lt;sup>14</sup> Email from Andy Rubin to Sergey Brin, et al., January 13, 2006, GOOGLE-26-00007930.

<sup>&</sup>lt;sup>15</sup> Email from Eric Chu to Alan Brenner, et al., November 21, 2005, OAGOOGLE0100072597; Deposition of Eric Chu, April 28, 2011, p. 50.

"platform-enabled mobile ads." The record however, contains no evidence that Google actually proposed this idea to Sun." <sup>16</sup>

**Feb. 8, 2006** – The first formal financial proposal made by Sun to Google – proposes "\$20 Million per year for 3 year" and "10% of revenue generated by Google on handsets running 'Open Source Java Linux Mobile Platform' or derivatives with a cap of \$25 Million a year (when and if google monetizes – then this becomes effective. We added the cap as per Rich's request . . .)"<sup>17</sup> Google rejected Sun's offer. <sup>18</sup>

Apr. 27, 2006 – Email from Jonathan Schwartz of Sun to Eric Schmidt of Google indicating that "[m]y team has alerted me that our negotiations to jointly create a Java-Linux mobile platform are at an impasse." <sup>19</sup>

Jan. 2007	Apple introduces the iPhone.						
Apr. 2007	Sun acquires SavaJe, a Java-based smartphone platform,						
June 2007	The iPhone is first available for sale.						
Nov. 2007	Google publicly announces the Android platform. <sup>21</sup>						
2008	Google and Sun engage in additional discussions regarding a license. <sup>22</sup>						
Nov. 2008	Google releases Android and launches the Android phone. <sup>23</sup>						
Mar. 2009	Larry Ellison sends Sun's Board of Directors an offer to acquire certain pieces of Sun's business. <sup>24</sup>						
Apr. 19 2009	Oracle and Sun enter into the 2010 Sun/Oracle Merger. <sup>25</sup>						
Jan. 2010	Oracle acquires Sun and renames it Oracle America, Inc. <sup>26</sup>						
May 2010	Google TV, Google's first attempt to create a TV platform based on Android, is announced on several devices, such as Sony Internet TV and the Logitech Revue, a set-top box device. <sup>27</sup>						

<sup>&</sup>lt;sup>16</sup> Order Granting In Part Motion to Strike Damages Report of Plaintiff Expert Iain Cockburn, July 22, 2011, p. 3.

<sup>19</sup> Email from Jonathan Schwartz to Eric Schmidt, et al., April 27, 2006, GOOGLE-66-00000274.

<sup>&</sup>lt;sup>17</sup> Email from Vineet Gupta to Andy Rubin, February 8, 2006, OAGOOGLE0000357494.

<sup>&</sup>lt;sup>18</sup> OAGOOGLE0000358110 ..

<sup>&</sup>lt;sup>20</sup> OAGOOGLE0000424812 – 813 at 812; OAGOOGLE0002304236-243 at 237.

<sup>&</sup>lt;sup>21</sup> Order Granting In Part Motion to Strike Damages Report of Plaintiff Expert Iain Cockburn, July 22, 2011, p. 3.

<sup>&</sup>lt;sup>22</sup> Deposition of Andrew Rubin, April 5, 2011, p. 14.

<sup>&</sup>lt;sup>23</sup> Deposition of Andrew Rubin, April 5, 2011, p. 14. (But see, T-Mobile Unveils the T-Mobile G1 – the First Phone Powered by Android, http://www.tmobile.com/company/PressReleases\_Article.aspx?assetName=Prs\_Prs\_20080923 which indicates October 2008).

<sup>&</sup>lt;sup>24</sup> OAGOOGLE0000140115-130.

<sup>&</sup>lt;sup>25</sup> Sun 2009 Form 10-K, p. 3.

<sup>&</sup>lt;sup>26</sup> http://www.bloomberg.com/research/stocks/private/snapshot.asp?privcapId=34903

 $<sup>^{27}</sup>$  https://googleblog.blogspot.com/2010/05/announcing-google-tv-tv-meets-web-web.html; http://www.engadget.com/2010/06/18/logitech-revue-gets-official-google-tv-companion-box-coming-thi/; http://www.sony.net/SonyInfo/News/Press/201005/10-0521BE/.

- Aug 6, 2010 Rubin receives an internal (Google) email stating that the technical alternatives to using Java for Android "all suck" and stating "We conclude that we need to negotiate a license for Java under the terms we need."<sup>28</sup>
- Aug 12, 2010 Oracle files its initial complaint in this action.<sup>29</sup>
- Oct. 28, 2010 According to the Supplemental Complaint, from this date "Google has continued to infringe Oracle's copyrights in the Java platform. Since then, Google has released seven versions of Android:
  - Gingerbread (released December 2010);
  - Honeycomb (released February 2011);
  - Ice Cream Sandwich (released October 2011);
  - Jelly Bean (released July 2012)
  - KitKat (released October 2013); and
  - Lollipop (released November 2014)."30
  - Marshmallow (released October 5, 2015).<sup>31</sup>

"These six named Android releases comprise approximately 40 major and minor releases of Android. . . . As with the previous versions of Android, these six Android releases copy thousands of lines of source code from the Java platform, as well as the structure, sequence and organization ("SSO") of that platform as reflected in the asserted 37 Java API packages." <sup>32</sup>

According to the Supplemental Complaint:

- Android will still not work without these Java API packages."33
- Since Oracle filed the Amended Complaint in October 2010, Android has become the most widely used mobile platform in the world.<sup>34</sup>
- There are over one billion active monthly Android users and more than 8,000 different devices running versions of Android.<sup>35</sup>
- Users have downloaded more than 50 billion applications from Google Play on a catalog of more than 1.5 million apps.<sup>36</sup>

<sup>&</sup>lt;sup>28</sup> Trial Exhibit 10 – GOOGLE-12-10000022; GOOGLE-12-00039565; Deposition of Tim Lindholm, September 7, 2011, p. 102.

<sup>&</sup>lt;sup>29</sup> Complaint for Patent and Copyright Infringement, August 12, 2010.

<sup>&</sup>lt;sup>30</sup> Plaintiff Oracle's Supplemental Complaint, August 12, 2015, p. 1.

<sup>31</sup> http://www.pocket-lint.com/news/134946-when-is-android-6-0-marshmallow-coming-to-my-phone.

<sup>&</sup>lt;sup>32</sup> Plaintiff Oracle's Supplemental Complaint, August 12, 2015, p. 1.

<sup>&</sup>lt;sup>33</sup> Plaintiff Oracle's Supplemental Complaint, August 12, 2015, p. 1.

<sup>&</sup>lt;sup>34</sup> Plaintiff Oracle's Supplemental Complaint, August 12, 2015, p. 2.

<sup>&</sup>lt;sup>35</sup> Plaintiff Oracle's Supplemental Complaint, August 12, 2015, p. 3.

<sup>&</sup>lt;sup>36</sup> Plaintiff Oracle's Supplemental Complaint, August 12, 2015, p. 3.

- Android use is also up as measured by advertising. By some accounts, Android is now the top mobile advertising platform as measured by total advertising revenue and by traffic.<sup>37</sup>
- Oct 2010 Google TV devices launch.<sup>38</sup>
- May 2011 Android hits 100 million activated Android devices.<sup>39</sup>
- May 2011 Google announces Android@Home, "a software framework for Android that allows programmers to interact with various connected appliances such as light bulbs, thermostats, washing machines and more," at Google I/O.40
- **Apr. 16, 2012** Trial begins in the matter of *Oracle v. Google.* The jury and court hear testimony from 24 witnesses.<sup>41</sup>
- May 7, 2012 The jury returned a verdict finding that Google infringed Oracle's copyrights for the 37 asserted Java API packages and in the nine lines of the rangeCheck code. The jury deadlocked on Google's fair use defense.<sup>42</sup>
- May 31, 2012 U.S. District Court for the Northern District of California issues preliminary decision finding "that the replicated elements of the Java API packages including the declarations and their structure, sequence, and organization-were not copyrightable."<sup>43</sup>
- Jun 20, 2012 The U.S. District Court for the Northern District of California enters final judgment in favor of Google and against Oracle on its claim for copyright infringement, except with respect to the rangeCheck function and the eight decompiled files.<sup>44</sup>
  - 2012 Android cumulative activations exceed 500 million.<sup>45</sup>
  - 2013 Android cumulative activations exceed 1 billion.<sup>46</sup>
- May 9, 2014 The United States Court of Appeals for the Federal Circuit ("CAFC") issues opinion concluding "that the declaring code and the structure, sequence, and organization of the API packages are entitled to copyright protection."<sup>47</sup> The CAFC reversed the U.S. District Court

<sup>&</sup>lt;sup>37</sup> Plaintiff Oracle's Supplemental Complaint, August 12, 2015, p. 3, (reporting Android has three times the market share of mobile ad traffic as compared to its nearest competitor, iOS).

<sup>&</sup>lt;sup>38</sup> http://www.engadget.com/2010/10/06/logitech-revue-with-google-tv-details-299-for-keyboard-box-i/; http://www.cnet.com/products/sony-nsz-gt1-google-tv/; http://www.theverge.com/products/nsx-24gt1/2024; http://www.theverge.com/products/nsx-32gt1/2021; http://www.theverge.com/products/nsx-46gt1/2013.

<sup>&</sup>lt;sup>39</sup> https://googleblog.blogspot.com/2011/05/android-momentum-mobile-and-more-at.html.

<sup>40</sup> http://www.pcworld.com/article/227611/Google\_Envisions\_Automated\_Home\_with\_Android\_Home.html.

<sup>&</sup>lt;sup>41</sup> Oracle America, Inc. v. Google Inc., 750 F.3d 1339, 1351 (Fed. Cir. 2014).

<sup>&</sup>lt;sup>42</sup> Oracle America, Inc. v. Google Inc., 750 F.3d 1339, 1352 (Fed. Cir. 2014).

<sup>&</sup>lt;sup>43</sup> Oracle America, Inc. v. Google Inc., 750 F.3d 1339, 1352 (Fed. Cir. 2014).

<sup>44</sup> Oracle America, Inc. v. Google Inc., 750 F.3d 1339, 1348 (Fed. Cir. 2014).

<sup>&</sup>lt;sup>45</sup> GOOG-00022382.

<sup>&</sup>lt;sup>46</sup> GOOG-00022382.

<sup>&</sup>lt;sup>47</sup> Oracle America, Inc. v. Google Inc., 750 F.3d 1339 at 1348 (Fed. Cir. 2014).

for the Northern District of California with instructions to reinstate the jury's infringement finding as to the 37 Java packages. The CAFC also granted "Oracle's motion for JMOL as to the eight decompiled Java files that Google copied into Android," and denied "Google's motion for JMOL with respect to the rangeCheck function." <sup>48</sup>

Oct. 2014 Android TV, Google's successor Android-based platform to Google TV, is announced on the Nexus Player, a set-top box device.<sup>49</sup>

2014 Android cumulative activations exceed 2 billion.<sup>50</sup>

<sup>&</sup>lt;sup>48</sup> Oracle America, Inc. v. Google Inc., 750 F.3d 1339 at 1348 (Fed. Cir. 2014).

 $<sup>^{\</sup>rm 49}$  Nexus Player is Google's first Android TV device, http://www.theverge.com/2014/10/15/6982375/google-nexus-player-android-tv-set-top-box-announced.

<sup>&</sup>lt;sup>50</sup> GOOG-00022382.

## SUN MICROSYSTEMS, INC. R&D AS A PERCENT OF REVENUE

Exhibit 4

	Revenue	R&D	R&D as a Percent of Revenue
1990 [1]	\$2,466,000,000	\$302,000,000	12.2%
1991 [1]	3,221,000,000	356,000,000	11.1%
1992 [1]	3,589,000,000	382,000,000	10.6%
1993 [1]	4,309,000,000	445,000,000	10.3%
1994 [1]	4,690,000,000	455,000,000	9.7%
1995 [2]	5,901,885,000	562,895,000	9.5%
1996 [2]	7,094,751,000	653,044,000	9.2%
1997 [2]	8,598,346,000	825,968,000	9.6%
1998 [3]	9,862,000,000	1,029,000,000	10.4%
1999 [3]	11,806,000,000	1,280,000,000	10.8%
2000 [4]	15,721,000,000	1,630,000,000	10.4%
2001 [4]	18,250,000,000	2,016,000,000	11.0%
2002 [4]	12,496,000,000	1,832,000,000	14.7%
2003 [4]	11,434,000,000	1,837,000,000	16.1%
2004 [4]	11,185,000,000	1,926,000,000	17.2%
2005 [5]	11,070,000,000	1,785,000,000	16.1%
2006 [5]	13,068,000,000	2,046,000,000	15.7%
2007 [5]	13,873,000,000	2,008,000,000	14.5%
2008 [5]	13,880,000,000	1,834,000,000	13.2%
2009 [5]	11,449,000,000	1,648,000,000	14.4%
Total	\$193,963,982,000	\$24,852,907,000	12.8%

- [1] Sun Microsystems, Inc. SEC Form 10-K for the fiscal year ended June 30, 1994, Exhibit 13, p. 1.
- [2] Sun Microsystems, Inc. SEC Form 10-K for the fiscal year ended June 30, 1997, Exhibit 11, p. 26.
- [3] Sun Microsystems, Inc. SEC Form 10-K for the fiscal year ended June 30, 2002, p. 21.
- [4] Sun Microsystems, Inc. SEC Form 10-K for the fiscal year ended June 30, 2004, p. 17.
- [5] Sun Microsystems, Inc. SEC Form 10-K for the fiscal year ended June 30, 2009, p. 25.

## ORACLE STATEMENT OF OPERATIONS

(in millions)	FY 2010 [1]	FY 2011 [1]	FY 2012 [1]	FY 2013 [2]	FY 2014 [2]	FY 2015 [2]
Revenues						
New Software Licenses	\$7,533	\$9,235	\$9,906	\$9,411	\$9,416	\$8,535
Cloud Software as a Service and Platform as a Service	n/a	n/a	n/a	910	1,121	1,485
Cloud Infrastructure as a Service	n/a	n/a	n/a	457	456	608
Software License Updates and Product Support	13,092	14,796	16,210	17,142	18,206	18,847
Software and Cloud Revenues	\$20,625	\$24,031	\$26,116	\$27,920	\$29,199	\$29,475
Hardware Systems Products	\$1,506	\$4,382	\$3,827	\$3,033	\$2,976	\$2,825
Hardware Systems Support	784	2,562	2,475	2,313	2,396	2,380
Hardware Systems Revenues	\$2,290	\$6,944	\$6,302	\$5,346	\$5,372	\$5,205
Services Revenues	\$3,905	\$4,647	\$4,703	\$3,914	\$3,704	\$3,546
Total Revenues	\$26,820	\$35,622	\$37,121	\$37,180	\$38,275	\$38,226
Operating Expenses						
Sales and Marketing	\$5,080	\$6,579	\$7,127	\$7,062	\$7,567	\$7,655
Cloud Software as a Service and Platform as a Service	n/a	n/a	n/a	327	455	773
Cloud Infrastructure as a Service	n/a	n/a	n/a	304	308	344
Software License Updates and Product Support	1,063	1,264	1,226	1,175	1,162	1,199
Hardware Systems Products	880	2,057	1,843	1,501	1,521	1,471
Hardware Systems Support	423	1,259	1,046	890	836	816
Services	3,398	3,818	3,743	3,182	2,954	2,929
Research and Development	3,254	4,519	4,523	4,850	5,151	5,524
General and Administrative	911	970	1,126	1,072	1,038	1,077
Amortization of Intangible Assets	1,973	2,428	2,430	2,385	2,300	2,149
Acquisition Related and Other	154	208	56	-604	41	211
Restructuring	622	487	295	352	183	207
Total Operating Expenses	\$17,758	\$23,589	\$23,415	\$22,496	\$23,516	\$24,355

## ORACLE STATEMENT OF OPERATIONS

Exhibit 5

(in millions)	FY 2010 [1]	FY 2011 [1]	FY 2012 [1]	FY 2013 [2]	FY 2014 [2]	FY 2015 [2]
Operating Income	\$9,062	\$12,033	\$13,706	\$14,684	\$14,759	\$13,871
Operating Income %	33.8%	33.8%	36.9%	39.5%	38.6%	36.3%
Interest Expense	-754	-808	-766	-797	-914	-1,143
Non-Operating Income (Expense), Net	-65	186	22	11	-141	106
Income Before Provision for Income Taxes	\$8,243	\$11,411	\$12,962	\$13,898	\$13,704	\$12,834
Provision for Income Taxes	2,108	2,864	2,981	2,973	2,749	2,896
Net Income	\$6,135	\$8,547	\$9,981	\$10,925	\$10,955	\$9,938
Net Income %	22.9%	24.0%	26.9%	29.4%	28.6%	26.0%

<sup>[1]</sup> Oracle Annual Report, 10-K for fiscal year ended May 31, 2012, p. 85.

<sup>[2]</sup> Oracle Annual Report, 10-K for fiscal year ended May 31, 2015, p. 87.

## GOOGLE ANNUAL STATEMENTS OF INCOME

Revised Exhibit 6 (Revised February 29, 2016)

(in millions)	2008 [1]	2009 [2]	2010 [2]	2011 [2]	2012 [3]	2013 [4]	2014 [4]	2015 [4]
Advertising Revenues								
Google Websites	\$14,414	\$15,723	\$19,444	\$26,145	\$31,221	\$37,422	\$45,085	\$52,357
Google Network Member's Websites [5]	6,715	7,166	8,792	10,386	12,465	13,650	14,539	15,033
Total Advertising Revenues	21,129	22,889	28,236	36,531	43,686	51,072	59,624	67,390
Other Revenues [6]	667	762	1,085	1,374	2,353	4,447	6,377	7,599
Total Revenue	\$21,796	\$23,651	\$29,321	\$37,905	\$46,039	\$55,519	\$66,001	\$74,989
Cost and Expenses								
Cost of Revenues	\$8,622	\$8,844	\$10,417	\$13,188	\$17,176	\$21,993	\$25,691	\$28,164
Research & Development	2,793	2,843	3,762	5,162	6,083	7,137	9,832	12,282
Sales and Marketing	1,946	1,984	2,799	4,589	5,465	6,554	8,131	9,047
General and Administrative	1,803	1,668	1,962	2,724	3,481	4,432	5,851	6,136
Charge - Resolution of DOJ Investigation				500				_
Total Costs and Expenses	\$ 15,164	\$ 15,339	\$ 18,940	\$ 26,163	\$ 32,205	\$ 40,116	\$ 49,505	\$ 55,629
Income from Operations	\$6,632	\$8,312	\$10,381	\$11,742	\$13,834	\$15,403	\$16,496	\$19,360
Impairment of Equity Investments	-1,095	-	-	-	-	-	_	_
Interest and Other Income, Net	316	69	415	584	635	496	763	291
Income from Continuing Ops Before I.T.	\$5,854	\$8,381	\$10,796	\$12,326	\$14,469	\$15,899	\$17,259	\$19,651
Provision for Income Taxes	1,627	1,861	2,291	2,589	2,916	2,739	3,639	3,303
Net Income from Continuing Operations	\$4,227	\$6,520	\$8,505	\$9,737	\$11,553	\$13,160	\$13,620	\$16,348
Net Income (Loss) from Discontinued Ops					-816	-427	516	
Net Income	\$4,227	\$6,520	\$8,505	\$9,737	\$10,737	\$12,733	\$14,136	\$16,348

### GOOGLE ANNUAL STATEMENTS OF INCOME

Revised Exhibit 6 (Revised February 29, 2016)

- [1] Google Annual Report, 10-K for year ended December 31, 2008, pp. 42, 65.
- [2] Google Annual Report, 10-K for year ended December 31, 2011, pp. 30, 52.
- [3] Google Annual Report, 10-K for year ended December 31, 2014, pp. 23, 44.
- [4] Google Annual Report, 10-K for year ended December 31, 2015, pp. 25, 49.
- [5] Revenues from Google Network Members' Websites include revenues generated primarily through advertising programs including AdSense for Search, AdSense for Content, AdExchange, AdMob, and DoubleClick Bid Manager. Google Annual Report, 10-K for year ended December 31, 2014, p. 23.
- [6] Other Revenues are mostly attributable to digital content products, such as apps, music, and movies on the Google Play store. "Other Bets revenues" has been included in "Other Revenues". I understand that Google reclassified certain 2013 and 2014 revenue related to DoubleClick ad serving software from Google Other Revenues to Advertising Revenues from Google Network Member's Websites. See Google Annual Report, 10-K for year ended December 31, 2015, p. 25.

## SUMMARY OF ANDROID REPORTED OPERATING RESULTS

Revised Exhibit 7 (Revised February 29, 2016)

(in millions)	2008 [1]	2009 [2]	2010 [3]	2011 [4]	2012 [4]	2013 [4]	2014 [4]	2015 [4]	Total
Revenue									
Ad Revenue [5]	\$0.7	\$15.7	\$120.1	\$569.4	\$2,152.4				
Apps	n/a	1.1	8.0	36.2	136.1				
Digital Content	0.0	0.0	0.0	14.8	105.8				
Hardware	0.0	0.0	115.2	0.0	303.5				
Total Revenue	0.7	16.8	243.3	620.4	2,697.8				
Cost of Sales									
Traffic Acquisition Costs [6]	\$0.2	\$2.9	\$41.3	\$85.0	\$460.5				
Apps	0.0	0.0	0.0	0.0	62.2				
Digital Content	0.0	0.0	0.0	23.5	169.5				
Hardware	0.0	0.0	109.9	-0.2	340.6				
Infrastructure & Other COS	0.2	0.8	4.3	67.9	95.0				
Total Cost of Sales	0.4	3.7	155.5	176.2	1,127.7				
Gross Profit	\$0.3	\$13.1	\$87.8	\$444.2	\$1,570.0				
Direct Operating Expenses									
Sales and Other	\$0.9	\$3.2	\$5.2	\$16.3	\$37.3				
Marketing	12.3	16.6	53.3	53.9	225.3				
Engineering (EngPM)	86.3	43.1	107.7	192.3	380.4				
Legal	1.0	2.1	32.2	160.5	113.7				[7]
Total Expenses	\$100.5	\$65.0	\$198.4	\$423.0	\$756.7				
Product Contribution	-\$100.2	-\$51.9	-\$110.6	\$21.2	\$813.3				
Contribution Margin as % of Gross Rev	-		-45.5%	3.4%	30.1%				

### SUMMARY OF ANDROID REPORTED OPERATING RESULTS

Revised Exhibit 7 (Revised February 29, 2016)

- [1] Android OC Quarterly Review Q1 2009, GOOGLE-00303725 at 739.
- [2] Android OC Quarterly Review Q4 2010, October 12, 2010, GOOGLE-01-00053552 at 556.
- [3] Android OC Quarterly Review Q1 2011, May 03, 2011, GOOGLE-77-00053555 at 562.
- [4] GOOG-00103813 Android Profit and Loss for years 2011 to 2015, Q4 2015 amounts are Google forecasts.
- [5] Exhibit 8.
- [6] For 2011 2015, see Exhibit 7.2. Includes Traffic Acquisition Costs for AdSense and Display.
- [7] According to Dr. Leonard, "Total Android legal expenses include a conservative deduction of for legal expenses from the previous Google-Oracle litigation." (see Notes to Leonard Exhibit 1a.1). Consistent with this disclosure, I have reduced total Legal Expense by

## CALCULATION OF ANDROID ESTIMATED NETWORK MEMBER TRAFFIC ACQUISITION COSTS

Revised Exhibit 7.1 (Revised February 29, 2016)

(in millions)	2011 [1]	2012 [2]	2013 [3]	2014 [3]	2015 [3]
Google Total Ad Revenue	\$36,531.0	\$43,686.0	\$51,072.0	\$59,624.0	\$67,390.0
Total Network Member TAC Network Member	\$7,294.0	\$8,791.0	\$9,293.0	\$9,864.0	\$10,242.0
TAC as % of Ad Revenue	20.0%	20.1%	18.2%	16.5%	15.2%
Android Total Ad Revenue [4]	\$569.4	\$2,152.4			
Android Network Member TAC	\$113.7	\$433.1			

- [1] Google Annual Report, 10-K for year ended December 31, 2011, p. 30 and 33.
- [2] Google Annual Report, 10-K for year ended December 31, 2014, p. 23 and 26.
- [3] Google Annual Report, 10-K for year ended December 31, 2015, p. 25 and 31.
- [4] Revised Exhibit 7 (Revised February 29, 2016).

## CALCULATION OF ANDROID-RELATED ADSENSE AND DISPLAY TRAFFIC ACQUISITION COSTS

Exhibit 7.2 (Created February 29, 2016)

(in millions)	2011	2012	2013	2014	2015 [4]
Android AdSense Ad Revenue [1]	\$43.2	\$238.6			
AdSense TAC Percentage [2]	70.7%	71.1%			
Android AdSense TAC	\$30.5	\$169.6			
Android Display Ad Revenue [1]	\$88.3	\$468.9			
Display TAC Percentage [3]	61.6%	62.0%			
Android Display TAC	\$54.4	\$290.9			
Total AdSense and Display TAC	\$85.0	\$460.5			

- [1] Revised Exhibit 8.1 (Revised February 29, 2016).
- [2] Exhibit 7.3.
- [3] Exhibit 7.4.
- [4] 2015 TAC percentages reflect 2014 figures.

# CALCULATION OF GOOGLE'S ANNUAL ADSENSE TAC PERCENTAGE - 2008 TO 2014 [1]

Exhibit 7.3 (Created February 29, 2016)

Month	AdSense Revenue	AdSense TAC	% TAC
Willin	Revenue	IAC	/0 TAC
January-08			
February-08			
March-08			
April-08			
May-08			
June-08			
July-08			
August-08			
September-08			
October-08			
November-08			
December-08			
Total/Average-08			
January-09			
February-09			
March-09			
April-09			
May-09			
June-09			
July-09			
August-09			
September-09			
October-09			
November-09			
December-09			
Total/Average-09			

# CALCULATION OF GOOGLE'S ANNUAL ADSENSE TAC PERCENTAGE - 2008 TO 2014 [1]

Exhibit 7.3 (Created February 29, 2016)

Month	AdSense Revenue	AdSense TAC	% TAC
January-10			
February-10			
March-10			
April-10			
May-10			
June-10			
July-10			
August-10			
September-10			
October-10			
November-10			
December-10			
Total/Average-10			
January-11			
February-11			
March-11			
April-11			
May-11			
June-11			
July-11			
August-11			
September-11			
October-11			
November-11			
December-11			
Total/Average-11			

# CALCULATION OF GOOGLE'S ANNUAL ADSENSE TAC PERCENTAGE - 2008 TO 2014 [1]

Exhibit 7.3 (Created February 29, 2016)

Month	AdSense Revenue	AdSense TAC	% TAC
January-12			
February-12			
March-12			
April-12			
May-12			
June-12			
July-12			
August-12			
September-12			
October-12			
November-12			
December-12			
Total/Average-12			
January-13			
February-13			
March-13			
April-13			
May-13			
June-13			
July-13			
August-13			
September-13			
October-13			
November-13			
December-13			
Total/Average-13			

# CALCULATION OF GOOGLE'S ANNUAL ADSENSE TAC PERCENTAGE - 2008 TO 2014 [1]

Exhibit 7.3 (Created February 29, 2016)

Month	AdSense Revenue	AdSense TAC	% TAC
January-14			
February-14			
March-14			
April-14			
May-14			
June-14			
July-14			
August-14			
September-14			
October-14			
November-14			
December-14			
Total/Average-14			

## Notes:

[1] GOOG-00022381.